

### Influence Estimation for Generative Adversarial Networks

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### Motivation and Contribution



#### Motivation

- Identifying harmful training instances of GANs by influence estimation
- Solving 2 issues to bring approaches for supervised learning to influence estimation for GANs
  - i. A training instance only takes an indirect role in the generator's training
  - ii. Losses do not always represent the model performance

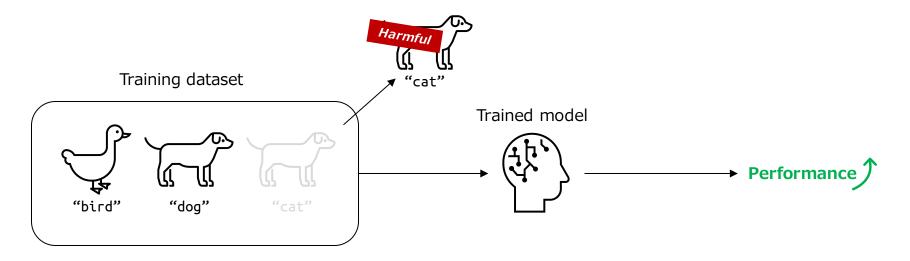
#### Contribution

- We proposed an estimator of influence on the GAN parameters using Jacobian of mini-batches to consider the indirect role of a training instance.
- We proposed to evaluate the instance based on influence on GAN evaluation metric and proposed its estimator.
- We evaluated estimated influence on GAN evaluation metric by two experiments (1. Estimation accuracy,
   2. Data cleansing)

### Influence estimation and harmful instance



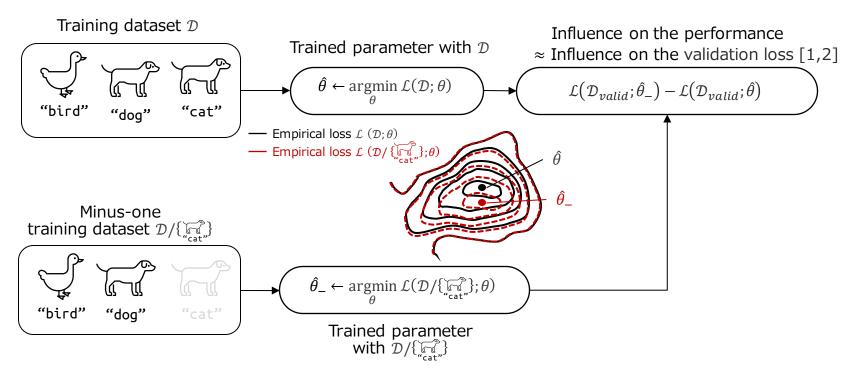
- *Influence* of a training instance: scalar or vector of how absence of the instance changes the performance (parameters, or predictions) of the trained model.
- Influence Estimation: Approximating influence without performing actual removal of instance and retraining
- Harmful instance: A training instance whose absence has positive influence on the performance



# Influence on the performance in supervised learning



In supervised learning settings,



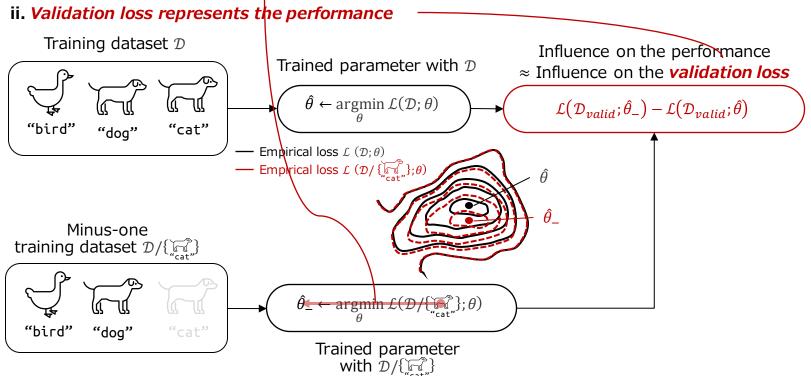
<sup>[1]</sup> Khanna, Rajiv, et al. "Interpreting black box predictions using fisher kernels." The 22nd International Conference on Artificial Intelligence and Statistics. PMLR, 2019.

# Assumptions in influence estimation for supervised learning



Existing approaches for supervised learning [1,2] put 2 assumptions:

i. Absence of an instance *directly* changes the training



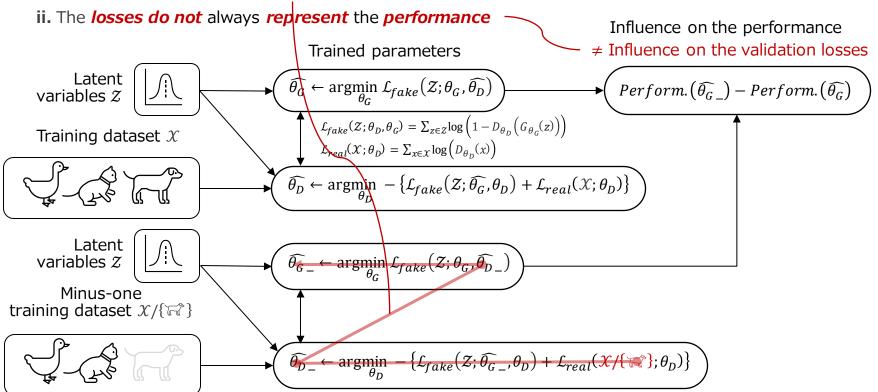
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# Influence on the performance in GANs



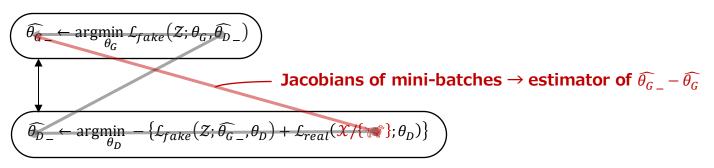
In GAN's two models (Generator  $G_{\theta_G}$ , Discriminator  $D_{\theta_D}$ ) setting,

i. Absence of an instance *only indirectly* changes the generator's training



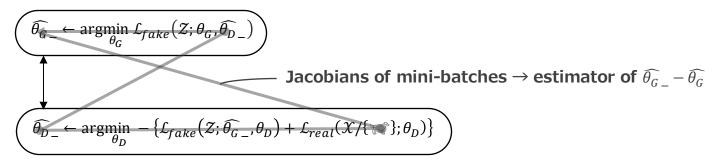


- i. Absence of an instance only indirectly changes the generator's training
  - → *Jacobians of mini-batches* can be used to obtain the **estimator of influence on the parameters**





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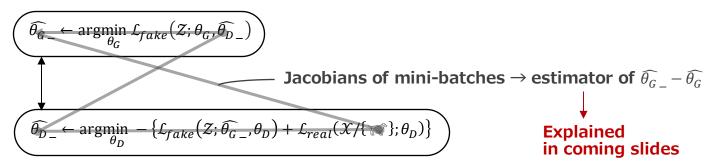


- ii. The *losses do not* always *represent* the *performance* 
  - → Influence on GAN evaluation metrics (e.g., Inception Score, FID) and its estimator.

#### 



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#### 

# Proposed estimator of influence on $[\theta_G, \theta_D]^T$ with Jacobian



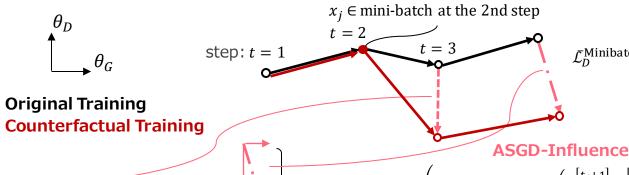
We suppose SGD training, where ···

- Parameter space  $[\theta_G, \theta_D]^T$  is only 2-dimensional
- $\theta_G$  and  $\theta_D$  are updated simultaneously
- Both learning rates are 1
- 3 update steps

0

• We want to know influence of j-th instance  $x_j \in \mathcal{X}$ 

Only for simplicity



$$\mathcal{L}_{G}^{\text{Minibatch}} \coloneqq \sum_{z \in Z_{minibatch}} \mathcal{L}_{fake}(z; \theta_{G}, \theta_{D})$$

$$\begin{split} \mathcal{L}_{D}^{\text{Minibatch}} &\coloneqq -\sum_{z \in Z_{minibatch}} \mathcal{L}_{fake}(z; \theta_{G}, \theta_{D}) \\ &- \sum_{x \in X_{minibatch}} \mathcal{L}_{real}(x; \theta_{D}) \end{split}$$

# **Direct effect** $\approx \left(1 - \nabla_{\theta_D}^2 \mathcal{L}_D^{\text{Minibatch}} \left(\theta_G^{[t_j+1]}, \theta_D^{[t_j+1]}\right)\right) \cdot \nabla_{\theta_D} \mathcal{L}_{real} \left(x_j; \theta_G^{[t_j]}, \theta_D^{[t_j]}\right)$

Indirect effect from  $\theta_D$  to  $\theta_G$   $\approx \left(1 - \nabla_{\theta_D} \nabla_{\theta_G} \mathcal{L}_G^{\text{Minibatch}} \left(\theta_G^{[t_j+1]}, \theta_D^{[t_j+1]}\right)\right) \cdot \nabla_{\theta_D} \mathcal{L}_{real}\left(x_j; \theta_G^{[t_j]}, \theta_D^{[t_j]}\right)$ 

Jacobian block of a mini-batch

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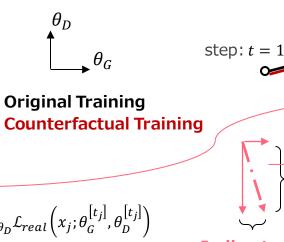
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ASGD-Influence

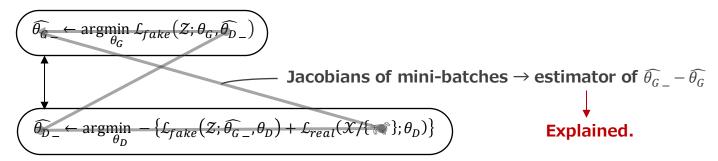
Direct effect  $\approx \left(1 - \nabla^2_{\theta_D} \mathcal{L}_D^{\text{Minibatch}} \left(\theta_G^{[t_j+1]}, \theta_D^{[t_j+1]}\right)\right) \cdot \nabla_{\theta_D} \mathcal{L}_{real}\left(x_j; \theta_G^{[t_j]}, \theta_D^{[t_j]}\right)$ 



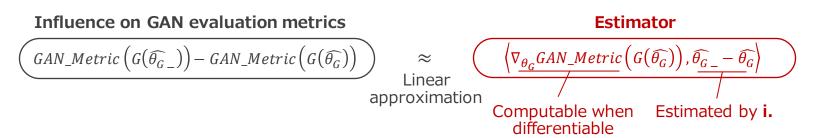
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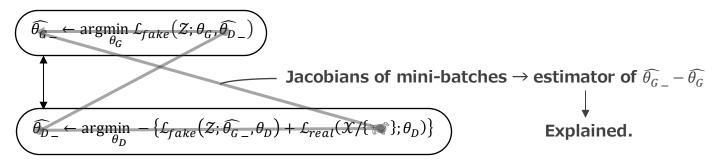


- ii. The *losses do not* always *represent* the *performance* 
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Evaluated in

# **Experiments**

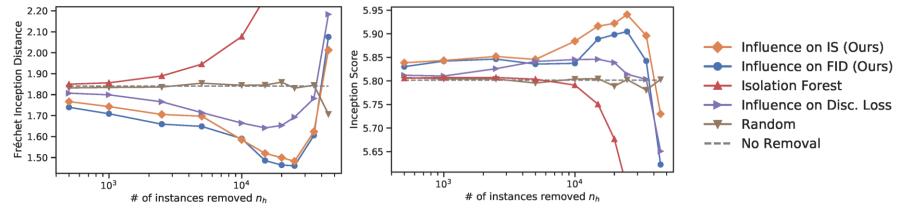


- Exp. 1: Estimation Accuracy
- Exp. 2: Data Cleansing
  - How does the generative performance improve when we remove suggested harmful instances?

# Experiments (Data Cleansing)



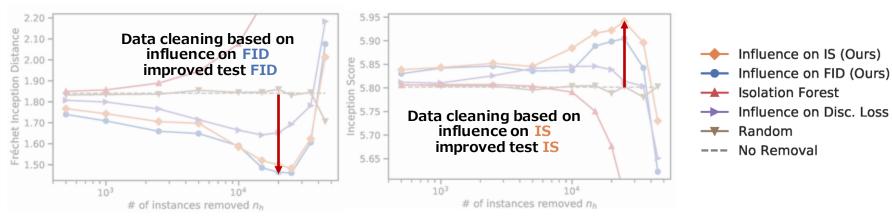
- Setup
  - Dataset: MNIST, Architecture: Deep Convolutional GAN
  - Select top  $n_h$  highly-harmful instances based on,
    - influence on GAN evaluation metrics (Inception Score (IS) and Fréchet Inception Score (FID))
    - baselines (Isolation Forest, Random, Discriminator Loss)
  - Retrain the model from the last epoch without the highly-harmful instances (Data cleansing)
  - Evaluate improvements in test IS/FID on retrained models from the original model (No Removal).



# Experiments (Data Cleansing)



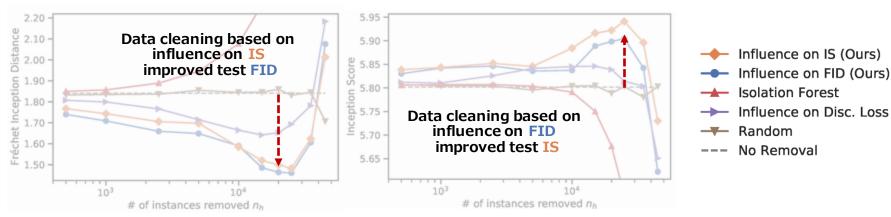
- Setup
  - Dataset: MNIST, Architecture: Deep Convolutional GAN
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- Quantitative Results
  - GAN evaluation metrics were statistically significantly improved.



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  - Evaluate improvements in test IS/FID on retrained models from the original model (No Removal).
- Quantitative Results
  - GAN evaluation metrics were **statistically significantly improved**.
  - Data cleansing based on the influence on FID also improved IS, and vice versa.



### Conclusion



- Existing influence estimation for supervised learning implicitly requires ···
  - i. Absence of a training instance to directly change the training
  - ii. Validation loss to represent the performance
- However, in GAN's training,
  - i. Absence of a training instance only indirectly changes the generator's training
  - ii. The losses do not always represent the performance
- Our contribution:
  - i. We proposed estimator of influence on the parameters using Jacobians of mini-batches.
  - ii. We proposed to evaluate by influence on GAN evaluation metric and proposed its estimator.
  - iii. We conducted experiments (1. Estimation accuracy, 2. Data cleansing) to evaluate our estimator.
- Experiment (Data Cleansing)
  - Removing a set of the highly-harmful instances improved GAN evaluation metrics,
    - including a GAN evaluation metric not used for the influence estimation
  - Data cleansing improved the visual diversity in the generated samples.