

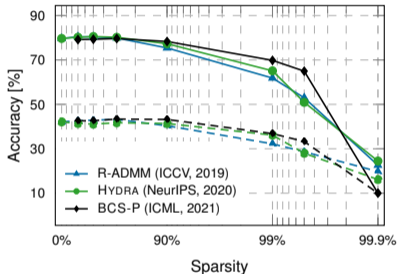
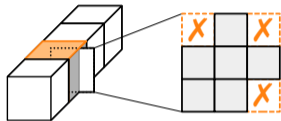
# Holistic Adversarially Robust Pruning

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# Background

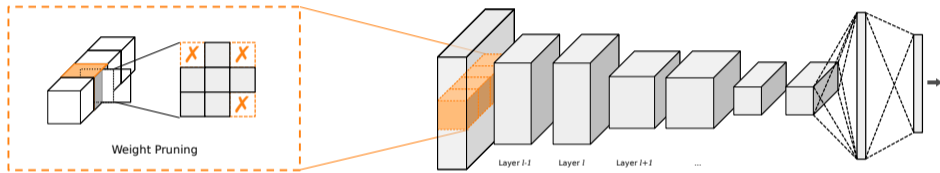
## 🎯 Adversarial Robust Pruning (on VGG16 for CIFAR-10)



**Concern 1:** Model pruning inflicts robustness recession (ICML-W, 2021)

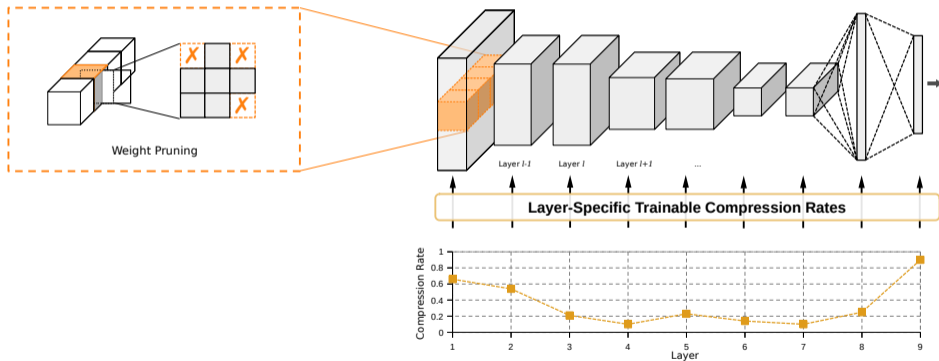
**Concern 2:** Adversarial pruning has only achieved moderate compression

# Motivation



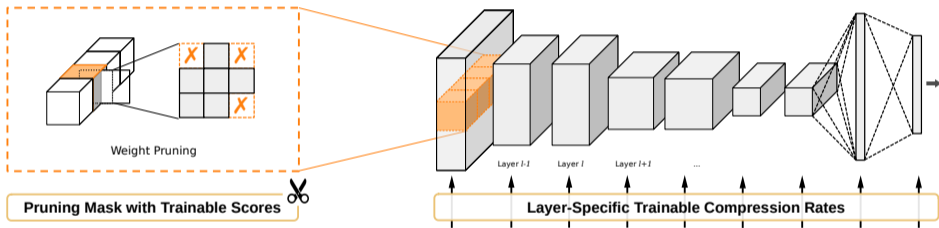
# Motivation

💡 Learning on **layer-specific compression rate**

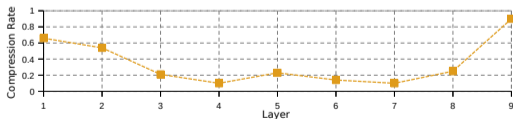


# Motivation

- 💡 Learning on **layer-specific compression rate**
- 💡 Learning on **prunable weight selection**

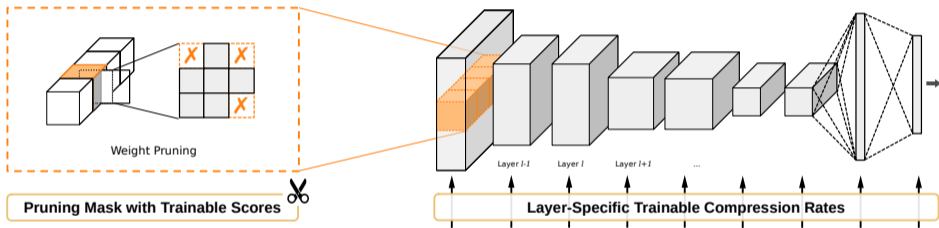


0.00	0.20	0.05
0.10	0.25	0.15
0.15	0.10	0.00

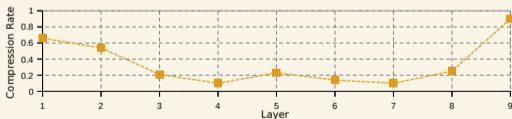


# Motivation

- 💡 Learning on **layer-specific compression rate**
- 💡 Learning on **prunable weight selection**



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0.10	0.25	0.15
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# HARP: Holistic Adversarially Robust Pruning

## Global Compression Control for Robust Pruning

$$\min_{r, S} \underbrace{\mathbb{E}_{(x,y) \sim \mathcal{D}} \left[ \max_{\delta} \{ \mathcal{L}_{robust}(\boldsymbol{\theta} \odot \mathbf{M}, \mathbf{x} + \delta, y) \} \right]}_{\text{global robust training on weight selection \& layer-specific compression}} + \underbrace{\gamma \cdot \mathcal{L}_{hw}(\boldsymbol{\theta} \odot \mathbf{M}, a_t)}_{\text{global control on model compression}}$$

## Global Control on Model Compression

$$\mathcal{L}_{hw}(\hat{\boldsymbol{\theta}}, a_t) := \max \left\{ \frac{\Theta_{\neq 0}}{a_t \cdot \Theta} - 1, 0 \right\}, \text{ where } \hat{\boldsymbol{\theta}}^{(l)} = \boldsymbol{\theta}^{(l)} \odot \mathbf{M}^{(l)}$$

# HARP: Methodological Implementation

## Conduction of Pruning Mask

$$\mathbf{M}^{(l)} := \left( \mathbb{1}_{s > P(\alpha^{(l)}, \mathbf{S}^{(l)})} \right)$$

where:  $\alpha^{(l)} = 1 - a^{(l)}$  and  $a^{(l)} = g(r^{(l)})$  with  $g : r \mapsto (1 - a_{min}) \cdot \text{sigmoid}(r^{(l)}) + a_{min}$   
 $P(\cdot) =$  percentile of  $\alpha^{(l)}$  and selection scores  $\mathbf{S}^{(l)}$



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## Learning on Trainable Rates $r$ and Scores $\mathbf{S}$

Back-propagation on non-differentiable operation  $\odot$  via “Straight Through Estimation” (STE)

$$\frac{\partial \mathcal{L}}{\partial \mathbf{S}^{(l)}} = \frac{\partial \mathcal{L}}{\partial \hat{\boldsymbol{\theta}}^{(l)}} \cdot \frac{\partial \hat{\boldsymbol{\theta}}^{(l)}}{\partial \mathbf{M}^{(l)}} \cdot \frac{\partial \mathbf{M}^{(l)}}{\partial \mathbf{S}^{(l)}} \quad \stackrel{\text{STE!}}{=} \frac{\partial \mathcal{L}}{\partial \hat{\boldsymbol{\theta}}^{(l)}} \cdot \frac{\partial \hat{\boldsymbol{\theta}}^{(l)}}{\partial \mathbf{M}^{(l)}} \quad (\text{NeurIPS, 2016})$$

$$\frac{\partial \mathcal{L}}{\partial r^{(l)}} = \frac{\partial \mathcal{L}}{\partial \hat{\boldsymbol{\theta}}^{(l)}} \cdot \frac{\partial \hat{\boldsymbol{\theta}}^{(l)}}{\partial \mathbf{M}^{(l)}} \cdot \frac{\partial \mathbf{M}^{(l)}}{\partial g(r^{(l)})} \cdot g'(r^{(l)}) \stackrel{\text{STE!}}{=} \left\langle \frac{\partial \mathcal{L}}{\partial \hat{\boldsymbol{\theta}}^{(l)}} \cdot \frac{\partial \hat{\boldsymbol{\theta}}^{(l)}}{\partial \mathbf{M}^{(l)}} \right\rangle \cdot g'(r^{(l)}) \quad (\text{ICML, 2020})$$

# HARP: Ablation Study

## The Importance of Learning on Rates $r$ and Scores $S$

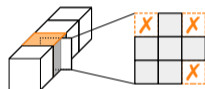
Table: Natural accuracy and PGD-10 adversarial robustness are presented left and right of the / character.

Model	Adv. Training	99 % Sparsity			99.9 % Sparsity		
		HARP- $r$	HARP- $S$	HARP	HARP- $r$	HARP- $S$	HARP
ResNet18	PGD	76.39 / 46.64	72.05 / 43.69	80.25 / 50.36	41.66 / 27.54	57.66 / 35.92	63.99 / 39.39
	TRADES	73.31 / 45.14	75.50 / 46.37	77.78 / 50.16	73.31 / 45.14	75.50 / 46.37	77.78 / 50.16
	MART	70.08 / 48.38	75.27 / 47.11	75.88 / 50.79	70.08 / 48.38	75.27 / 47.11	75.88 / 50.79
VGG16	PGD	76.17 / 46.74	65.09 / 39.80	78.50 / 48.71	36.76 / 28.02	50.33 / 34.03	59.13 / 37.36
	TRADES	72.91 / 44.52	66.75 / 41.79	76.46 / 48.01	41.63 / 26.95	56.08 / 31.51	63.43 / 34.64
	MART	71.63 / 48.64	64.37 / 41.46	73.04 / 51.09	37.19 / 30.68	49.51 / 36.29	55.02 / 39.39

- HARP- $r$  is beneficial for **moderate compression**
- HARP- $S$  is important in **aggressive compression**
- **Concurrent optimization on  $r$  and  $S$**  allows HARP to excel

# HARP: Experimental Comparison (1)

## Comparing Robust Pruning Methods



Weight pruning

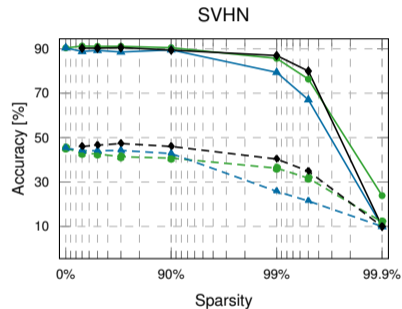
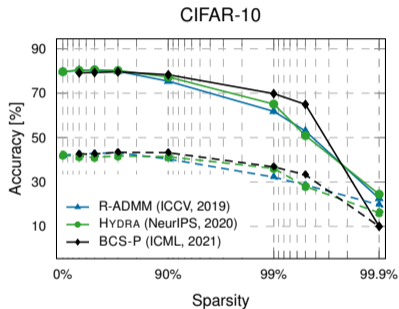
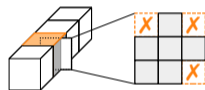


Figure: Overview of pruning weights of a VGG16 model for CIFAR-10 (left) and SVHN (right) with PGD-10 adversarial training. Solid lines show the natural accuracy of all robust pruning methods. Dashed lines represent the robustness against AUTOATTACK.

# HARP: Experimental Comparison (1)

## Comparing Robust Pruning Methods with HARP



Weight pruning

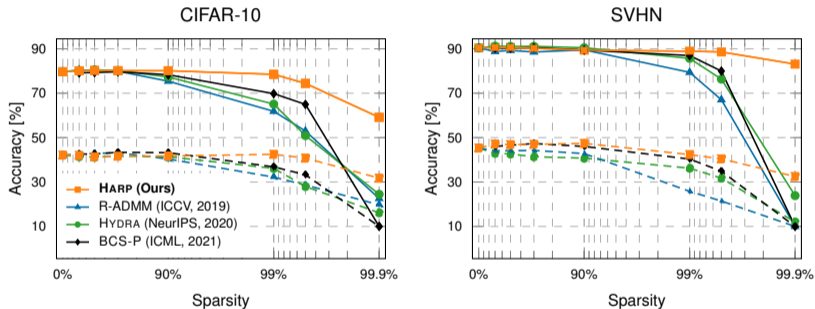


Figure: Overview of pruning weights of a VGG16 model for CIFAR-10 (left) and SVHN (right) with PGD-10 adversarial training. Solid lines show the natural accuracy of all robust pruning methods. Dashed lines represent the robustness against AUTOATTACK.

# HARP: Experimental Comparison (2)

## Comparing Robust Pruning Methods with HARP on ImageNet

Table: Comparing HARP with R-ADMM and HYDRA on ResNet50 models for ImageNet.

Attack	FREE-AT	90% Sparsity			99% Sparsity		
		R-ADMM	HYDRA	HARP	R-ADMM	HYDRA	HARP
–	60.25	35.26±0.46	49.44±0.37	<b>55.21</b> ±0.36	11.41±0.32	27.00±0.66	<b>34.62</b> ±0.36
PGD	32.82	14.35±0.41	23.75±0.33	<b>27.10</b> ±0.41	5.15±0.17	12.23±0.19	<b>14.67</b> ±0.32
C&W <sub>∞</sub>	30.67	12.35±0.33	21.60±0.27	<b>24.62</b> ±0.38	4.03±0.22	11.22±0.18	<b>12.42</b> ±0.33
APGD	31.54	13.53±0.39	23.14±0.27	<b>25.57</b> ±0.33	4.85±0.31	12.34±0.34	<b>13.47</b> ±0.34
AA	28.79	11.01±0.25	19.88±0.29	<b>22.57</b> ±0.41	3.69±0.35	10.09±0.40	<b>11.24</b> ±0.43

- R-ADMM (ICCV, 2019) suffers a large robustness recession at sparsity of 90 %
- HYDRA (NeurIPS, 2020) significantly benefits from learnable masks
- HARP shows the prominence of concurrent optimization on rates  $r$  and scores  $S$

# HARP: Impact of Layer-specific Non-uniformity (1)

Table: Comparing performance of R-ADMM and HYDRA by using ERK and LAMP and by HARP on CIFAR-10. Natural accuracy and PGD-10 robustness are presented left and right of the / character.

Model	Sparsity	R-ADMM			HYDRA			HARP
		Original	w/ ERK	w/ LAMP	Original	w/ ERK	w/ LAMP	
ResNet18	99%	71.42 / 42.31	80.36 / <b>48.38</b>	<b>80.64</b> / 48.28	75.53 / 45.84	79.09 / 49.17	<b>80.16</b> / <b>50.07</b>	<b>80.25</b> / <b>50.36</b>
	99.9%	26.39 / 20.62	54.51 / 33.06	<b>57.16</b> / <b>34.05</b>	34.55 / 26.08	55.73 / 35.09	<b>57.07</b> / <b>35.91</b>	<b>63.99</b> / <b>39.39</b>
VGG16	99%	62.28 / 37.54	70.33 / 43.30	<b>74.38</b> / <b>46.39</b>	67.33 / 41.47	72.19 / 45.05	<b>76.75</b> / <b>47.96</b>	<b>78.58</b> / <b>48.71</b>
	99.9%	21.28 / 17.46	43.35 / 29.11	<b>48.96</b> / <b>32.39</b>	23.41 / 20.99	50.38 / 34.32	<b>57.93</b> / <b>36.01</b>	<b>59.13</b> / <b>37.36</b>

- ERK (ICML, 2020) significantly improves uniform pruning methods
- LAMP (ICLR, 2021) has more promising performance than ERK
- HARP excels in robust pruning, particularly at the sparsity of 99.9%

# HARP: Impact of Layer-specific Non-uniformity (2)

## Distribution of layer compression rates

- Non-uniform strategies sacrifice more on middle layers
- HARP favors higher preservation on the front and back layer

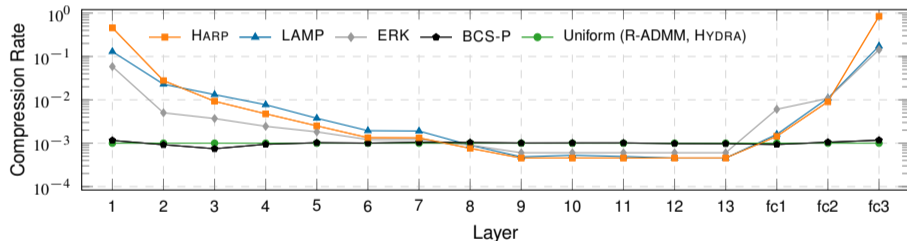


Figure: Layer-wise compression rates of 99.9% sparsity on VGG16 for CIFAR-10

# HARP: Impact of Layer-specific Non-uniformity (3)

## Distribution of layer preserved parameters

- Non-uniform strategies result in a close-uniform distribution
- HARP attaches higher importance to front and back layer

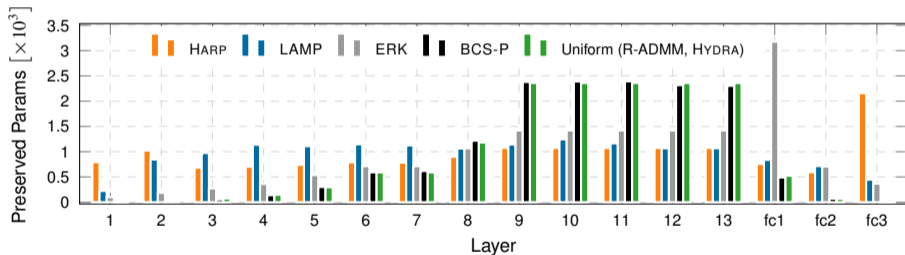


Figure: Layer-wise preserved parameters of 99.9% sparsity on VGG16 for CIFAR-10



# Thank You!

## KASTEL Security Research Labs Karlsruhe Institute of Technology (KIT)

<https://intellisec.de/team/qi/> 

<https://github.com/intellisec/harp/> 

<https://intellisec.de/research/harp/> 

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