# Ahmed H. Salamah ahamsala@uwaterloo.ca



Department of Electrical and Computer Engineering University of Waterloo

#### JPEG Inspired Deep Learning

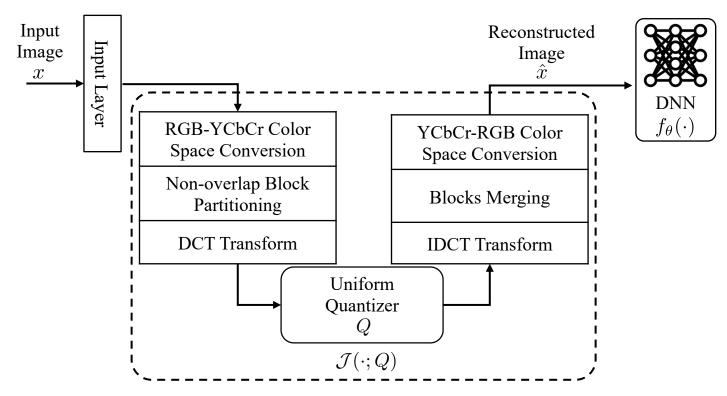
Ahmed H. Salamah<sup>1</sup>, Kaixiang Zheng<sup>1</sup>, Yiwen Liu & En-Hui Yang



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<sup>&</sup>lt;sup>1</sup>Authors contributed equally.

### Introduction



JPEG Compression Pipeline given an underlying model  $f_{ heta}$ 

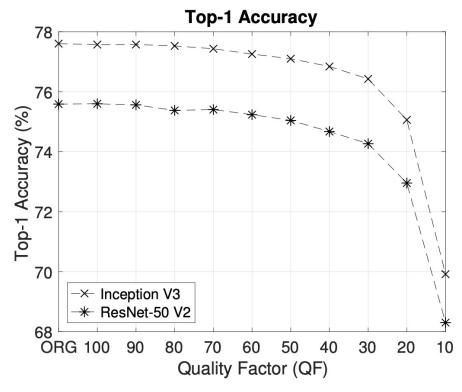
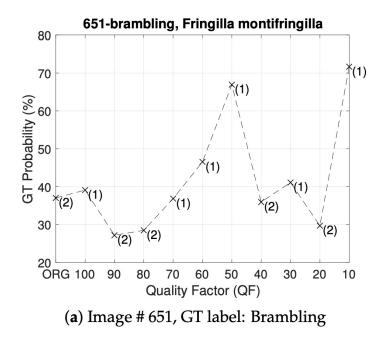
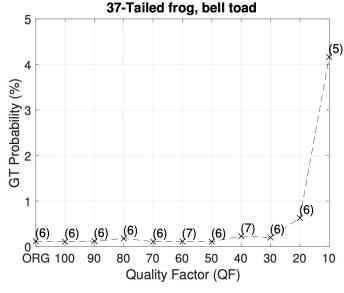


Fig. Top 1 accuracy accuracy degradation phenomenon for Inception V3 and ResNet-50 V2 in the case of the "one QF vs. all images" approach.

- 1. Samuel Dodge and Lina Karam. Understanding how image quality affects deep neural networks. In 2016 eighth international conference on QoMEX, pp. 1–6. IEEE, 2016.
- 2. Zihao Liu, Tao Liu, Wujie Wen, Lei Jiang, Jie Xu, Yanzhi Wang, and Gang Quan. DeepN-JPEG: A deep neural network favorable JPEG-based image compression framework. In Proceedings of the 55th Annual Design Automation Conference, pp. 18. ACM, 2018.
- 3. En-Hui Yang, Hossam Amer, and Yanbing Jiang. Compression helps deep learning in image classification. Entropy, 23(7):881, 2021.

#### Motivation





(b) Image # 37, GT label: Tailed Frog

Fig. The perspective of one image vs. all QFs—the ranks and probabilities of the GT label of an image across different QFs: (a) Image # 651; and (b) Image # 37.

- 1. En-Hui Yang, Hossam Amer, and Yanbing Jiang. Compression helps deep learning in image classification. Entropy, 23(7):881, 2021.
- 2. Kaixiang Zheng, Ahmed H. Salamah, Linfeng Ye, and En-Hui Yang. Jpeg compliant compression for dnn vision. In 2023 IEEE International Conference on Image Processing (ICIP), pp. 1875–1879, 2023.
- 3. Ahmed H Salamah, Kaixiang Zheng, Linfeng Ye, and En-Hui Yang. Jpeg compliant compression for dnn vision. IEEE Journal on Selected Areas in Information Theory, 3 2024b.

#### Problem Formulation

In supervised learning, each  $x \in \mathcal{X}$  corresponds to a ground truth label  $y \in \mathcal{Y}$ . Let  $f_{\theta}$  represent a DNN model with trainable weights  $\theta$ , and let  $\mathcal{L}$  denote the loss function used to train this DNN. In standard DL, the primary objective is to solve the following minimization problem:

$$\min_{\theta} \mathbb{E}[\mathcal{L}(f_{\theta}(x), y)]. \tag{1}$$

In contrast, JPEG-DL tries to improve the performance of DNN by jointly training it with the JPEG operation. As a result, the formulation should be instead:

$$\min_{\theta,Q} \mathbb{E}[\mathcal{L}(f_{\theta}(\mathcal{J}(x;Q)), y)]. \tag{2}$$

However, in order to solve (2) with gradient descent, the key challenge is caused by the non-differentiable quantization operation, which makes the gradients w.r.t. Q almost zero everywhere. To address this issue, we will introduce a differentiable soft quantizer  $(Q_d)$  in the next slides, replacing the uniform quantizer  $(Q_u)$  used in  $\mathcal{J}$ .

### Differentiable Soft Quantizer (1/2)

Denote the index set of uniform quantization as

$$\mathcal{A} = \{-L, -L + 1, \dots, 0, \dots, L - 1, L\}.$$

For convenience,  $\mathcal{A}$  is also regarded as a vector of length 2L+1. Multiplying  $\mathcal{A}$  with a quantization step size q, we get the corresponding reconstruction space

$$\hat{\mathcal{A}} = q \times [-L, -L+1, \dots, 0, \dots, L-1, L].$$

Again, we will regard  $\hat{A}$  as both a vector and a set.

To randomly quantize a DCT coefficient z to an element in  $\hat{\mathcal{A}}$ , we invoke from Yang et~al. a trainable conditional probability mass function (CPMF)  $P_{\alpha}(\cdot|z)$  over the reconstruction space  $\hat{\mathcal{A}}$  or equivalently the index set  $\mathcal{A}$  given z, where  $\alpha > 0$  is a trainable parameter:

$$P_{\alpha}(iq|z) = \frac{e^{-\alpha(z-iq)^2}}{\sum_{j \in \mathcal{A}} e^{-\alpha(z-jq)^2}}, \ \forall i \in \mathcal{A}.$$
 (3)

Extend z to a vector of length 2L+1, i.e.,  $[z]_{2L+1}=[\overbrace{z,\ldots,z}^{2L+1 \text{ times}}]$ . Then, the CPMF  $P_{\alpha}(\cdot|z)$ , regarded as a vector of length 2L+1, can be easily computed via the softmax operation  $\sigma(\cdot)$ :

$$[P_{\alpha}(\cdot|z)]_{2L+1} = \sigma\left(-\alpha \times \left([z]_{2L+1} - \hat{\mathcal{A}}\right)^{2}\right). \tag{4}$$

## Differentiable Soft Quantizer (2/2)

$$P_{\alpha}(iq|z) = \frac{e^{-\alpha(z-iq)^2}}{\sum_{j \in \mathcal{A}} e^{-\alpha(z-jq)^2}}, \ \forall i \in \mathcal{A}.$$
 (3)

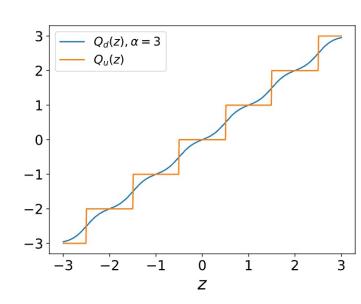
$$[P_{\alpha}(\cdot|z)]_{2L+1} = \sigma\left(-\alpha \times \left([z]_{2L+1} - \hat{\mathcal{A}}\right)^{2}\right) \tag{4}$$

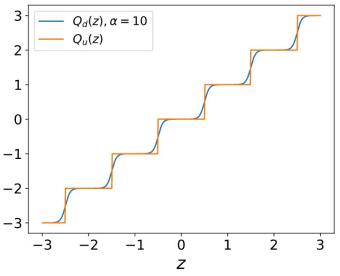
With the CPMF  $P_{\alpha}(\cdot|z)$ , z is now quantized to each  $iq \in \hat{\mathcal{A}}$  with probability  $P_{\alpha}(iq|z)$ . Note that as  $\alpha \to \infty$ ,  $P_{\alpha}(\cdot|z)$  approaches an one-hot vector with probability 1 at the nearest point to z in  $\hat{\mathcal{A}}$  and 0 elsewhere. Therefore, the resulting random quantizer effectively functions as the deterministic uniform quantizer  $Q_u(z) = \lfloor z/q \rfloor \cdot q$ .

Based on the CPMF  $P_{\alpha}(\cdot|z)$ , we can now define a differentiable soft quantizer  $Q_d$  as the conditional expectation of iq given z, i.e.,

$$Q_d(z) = \mathbb{E}[iq|z] = \sum_{i \in \mathcal{A}} P_{\alpha}(iq|z) \cdot iq.$$
 (5)

Similarly, as  $\alpha \to \infty$ ,  $Q_d$  also goes to  $Q_u$ . On the left, the figures show how the shape of  $Q_d$  varies w.r.t  $\alpha$ , given a fixed q.





#### Overall Framework of JPEG-DL

$$\min_{\theta, Q} \mathbb{E}[\mathcal{L}(f_{\theta}(\mathcal{J}(x; Q)), y)] \tag{2}$$

Substituting  $Q_u$  in  $\mathcal{J}$ , shown in (2), with  $Q_d$ , we get a differentiable JPEG layer  $\hat{\mathcal{J}}$  parameterized by Q and  $\boldsymbol{\alpha}$ , where  $\boldsymbol{\alpha}=(\boldsymbol{\alpha}_Y,\boldsymbol{\alpha}_C)$ .  $\boldsymbol{\alpha}_Y=[\alpha_1,\alpha_2,\ldots,\alpha_M]$  and  $\boldsymbol{\alpha}_C=[\alpha_{M+1},\alpha_{M+2},\ldots,\alpha_{2M}]$  are  $\alpha$  tables for the luminance and chrominance channels respectively, used in conjunction with  $Q_Y$  and  $Q_C$  to quantize DCT coefficients. Following the proposed soft quantization, we obtain quantized DCT coefficients  $\hat{z}_{l,m,n}=Q_d(z_{l,m,n};q_m,\alpha_m)$  for l=1, and  $\hat{z}_{l,m,n}=Q_d(z_{l,m,n};q_{M+m},\alpha_{M+m})$  for l=2,3, where  $Q_d(z;q,\alpha)$  denotes a differentiable soft quantizer parameterized by a quantization step q and a scaling factor  $\alpha$ . Overall, for an input image x, we have  $\hat{x}=\hat{\mathcal{J}}(x;Q,\boldsymbol{\alpha})$ . Therefore, we can rewrite (2), the JPEG-DL formulation, as

$$\min_{\theta, Q, \alpha} \mathbb{E}[\mathcal{L}(f_{\theta}(\hat{\mathcal{J}}(x; Q, \alpha)), y)], \tag{6}$$

where the expectation can be approximated by the empirical mean over a minibatch in actual training. Thanks to the use of  $Q_d$ , (3) can now be solved by gradient descent with ease.

### JPEG-DL on CIFAR100 and ImageNet

Table 1: Top-1 validation accuracy (%) for Baseline and JPEG-DL on CIFAR-100. The Baseline results are from Tian et al. (2020). For JPEG-DL, we report the mean and standard deviation of experimental results over three runs.

| Method   | Res32                      | Res56                          | Res110                      | VGG8                        | VGG13                      | MobileNetV2        | ShuffleNetV2       |
|----------|----------------------------|--------------------------------|-----------------------------|-----------------------------|----------------------------|--------------------|--------------------|
| Baseline | 71.14                      | 72.34                          | 73.79                       | 70.36                       | 73.77                      | 64.6               | 71.82              |
| JPEG-DL  | <b>71.92</b> ±0.31 (+0.78) | 73.39 <sub>±0.19</sub> (+1.05) | <b>74.46</b> ±+0.11 (+0.67) | <b>71.10</b> ±+0.41 (+0.74) | <b>75.32</b> ±0.10 (+1.55) | 65.91±0.11 (+1.31) | 73.04±0.16 (+1.22) |

Table 3: Top-1 validation accuracy (%) on ImageNet with different model architectures.

| Method   | SqueezeNetV1.1       | Resnet18             | Resnet34             |  |
|----------|----------------------|----------------------|----------------------|--|
| Baseline | 57.95                | 69.75                | 73.31                |  |
| JPEG-DL  | <b>58.26</b> (+0.31) | <b>70.13</b> (+0.38) | <b>73.54</b> (+0.23) |  |

With a trivial increase in complexity (adding 128 parameters), JPEG-DL achieves a gain of 0.31% in top-1 accuracy for SqueezeNetV1.1 compared to the baseline using a single round of  $Q_d$  quantization operation. By increasing the number of quantization rounds to five, we observe an additional improvement of 0.20%, leading to a total gain of 0.51% over the baseline. The best results are indicated in bold, and values in parentheses indicate relative accuracy gains over the baseline.

### Comparison with more Baselines

Table 6: Top-1 validation accuracy (%) on various fine-grained image classification tasks and model architectures. We report the mean and standard deviation of experimental results over three runs.

| Model        | Model Method  |  | Dogs   | Flowers  | Pets   |  |
|--------------|---|--|--|--|--|--|
| ResNet-18    | Baseline Ballé et al. (2016) Shin & Song (2017) Esser et al. (2019) JPEG-DL | $ \begin{array}{ c c c c c }\hline 54.00{\pm}1.43\\ 50.78{\pm}2.21 & (-3.22)\\ 55.34{\pm}0.14 & (+1.34)\\ 51.58{\pm}0.18 & (-2.42)\\ \hline \textbf{58.81}{\pm}0.12 & (+4.81)\\ \hline \end{array} $ | $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$ | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$  |  |  |
| DenseNet-121 | Baseline Ballé et al. (2016) Shin & Song (2017) Esser et al. (2019) JPEG-DL | $ \begin{array}{ c c c c c }\hline 57.70 \pm 0.44\\ 52.00 \pm 1.41 & (-5.70)\\ 57.19 \pm 0.78 & (-0.51)\\ 56.46 \pm 0.30 & (-1.24)\\ \hline \textbf{61.32} \pm 0.43 & (+3.62)\\ \hline \end{array}$  | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$  | $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$ | $\begin{array}{c cccc} 70.26 \pm 0.79 \\ 61.91 \pm 1.88 & (-8.35) \\ 69.95 \pm 1.21 & (-0.31) \\ 69.58 \pm 0.59 & (-0.68) \\ \textbf{75.90} \pm 0.68 & (+5.64) \\ \end{array}$ |  |

### Layer Replacement

Table 7: Top-1 validation accuracy (%) on various fine-grained image classification tasks and model architectures. We report the mean and standard deviation of experimental results over three runs.

| Method                               | CUB-200            | Dogs               | Flowers             | Pets               |  |
|--------------------------------------|--------------------|--------------------|---------------------|--------------------|--|
| JPEG-DL (Input Layer)                | 58.81±0.12 (+4.81) | 65.57±0.37 (+1.86) | 68.76±0.57 (+11.63) | 74.84±0.66 (+4.47) |  |
| JPEG-DL (1 <sup>st</sup> Conv Layer) | 59.27±0.04 (+5.27) | 65.33±0.07 (+1.62) | 72.10±1.46 (+14.97) | 76.11±0.37 (+5.74) |  |

|              | layer name | output size | 18-layer   | 34-layer   | 50-layer   | 101-layer   | 152-layer  |
|--------------|------------|-------------|--|--|--|---|--|
| JPEG layer → | conv1      | 112×112     | $7\times7$ , 64, stride 2  |  |  |   |  |
|              | 65         |             |  |  | 3×3 max pool, stric  | le 2  |  |
|              | conv2_x    | 56×56       | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$       | $\left[\begin{array}{c}3\times3,64\\3\times3,64\end{array}\right]\times3$          | $   \begin{bmatrix}     1 \times 1, 64 \\     3 \times 3, 64 \\     1 \times 1, 256   \end{bmatrix} \times 3 $ | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$                  | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$     |
|              | conv3_x    | 28×28       | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$ | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$ | $\left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4$     | $\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$                | $\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$   |
|              | conv4_x    | 14×14       | $\left[\begin{array}{c} 3\times3, 256\\ 3\times3, 256 \end{array}\right]\times2$   | $\left[\begin{array}{c}3\times3,256\\3\times3,256\end{array}\right]\times6$        | $ \left[\begin{array}{c} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{array}\right] \times 6 $  | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$              | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$ |
|              | conv5_x    | 7×7         | $\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times2$        | $\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times3$        | $\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$                | $ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $ | $\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$  |
|              |            | 1×1         |  | ave  | erage pool, 1000-d fc,   | softmax   |  |
|              | FLO        | OPs         | $1.8 \times 10^9$  | $3.6 \times 10^{9}$  | $3.8 \times 10^9$  | $7.6 \times 10^9$   | 11.3×10 <sup>9</sup>   |

#### Robustness

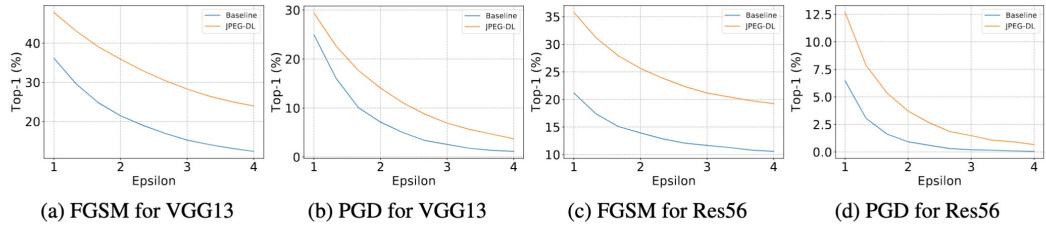


Figure 3: Evaluate the adversarial robustness of JPEG-DL models in comparison to standard DNN on VGG13 and Res56 for CIFAR-100 against FGSM and PGD attacks.

#### Meet us ...

