### **Title**

StructRAG: Boosting Knowledge Intensive Reasoning of LLMs via Inference-time Hybrid Information Structurization

**ICLR 2025** 

#### **Author**

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Interest LLM and retrieval-augmented generation (RAG)

Supervised by Le Sun, Xianpei Han, Hongyu Lin, and Yaojie Lu

\* This work is completed in Tongyi Lab, supervised by 水德 and 翼飞









### **Background**

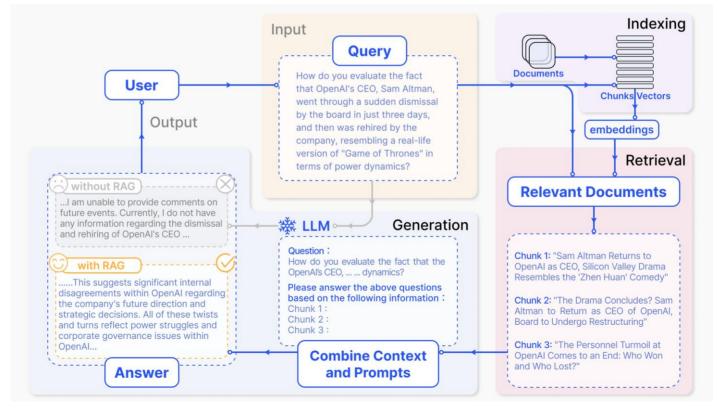
#### > LLM Factuality Hallucination

> The content generated by the model is inconsistent with the facts of the real world.

| Category                 | Type          | Example Dialog .  | Explanation  |  |  |
|--------------------------|---------------|---|--|--|--|
| Factuality Hallucination | Factual       | <b>Instruction:</b> What are Thomas Edison's main contributions to science and technology?  | improved the light bulb, building on earlier desi-   |  |  |
|                          | Contradiction | Response: Thomas Edison developed the first practical tele-<br>phone and invented the light bulb.   | gns by others, and Alexander Graham Bell invented the telephone.   |  |  |
|                          | 1             | <b>Instruction:</b> What are the major environmental impacts of the construction of the Eiffel Tower?   | The response erroneously states that the construction of the Eiffel Tower led to the extinction of the 'Parisian tiger' and sparked the global green architecture move-  |  |  |
|                          | Factual       | <b>Response:</b> The construction of the Eiffel Tower in 1889 led to the extinction of the Parisian tiger, a species that played a crucial role in the region's ecosystem. Additionally, it is wid ely recognized as the event that sparked the global green architecture movement. | ment. In fact, there is no evidence of a 'Parisian ter' ever existing, making this a fabricated claim Moreover, attributing the origins of green archite ture to the Eiffel Tower is an exaggeration, as the movement has diverse roots and cannot be traced batto a single event. |  |  |

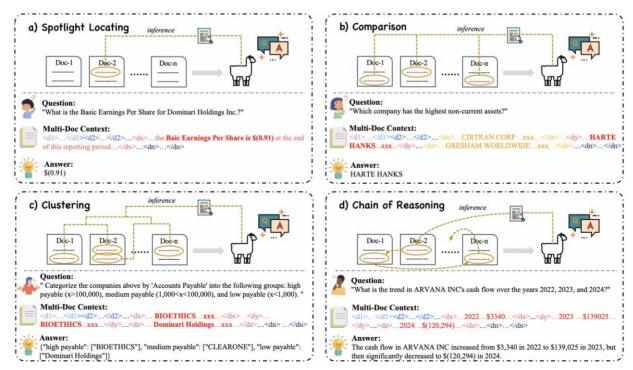
### **Background**

- ➤ RAG: Retrieval-Augmented Generation
  - > Split documents into chunks and retrieve relevant chunks as external augmented knowledge.



#### **Task**

- ➤ Knowledge-intensive Reasoning Task
  - > Relevant information is scattered across multiple locations in the document library.
  - ➤ Need to make complex inferences based on valid information (e.g., *Financial Report Analysis*).



#### **Task**

Existing chunk-based RAG cannot effectively solve knowledge-intensive reasoning tasks.

- ➤ High-noise External Knowledge
  - > Due to scattered information, the retrieved chunks contain a significant amount of text noise.

- > Hard to Inference
  - ➤ Based on high noise external knowledge, LLM cannot establish connections between information and therefore cannot make the effective inference.

### **Motivation**

➤ How do human beings solve knowledge-intensive reasoning tasks?

- Cognitive Load Theory
  - > Transform scattered information into structured knowledge.
  - ➤ Using structured knowledge to assist in completing reasoning tasks.

- ➤ Cognitive Fit Theory
  - ➤ Use different types of structures for different types of tasks.
  - For example: Chunk, Table, Graph, Catalogue, and Algorithm.

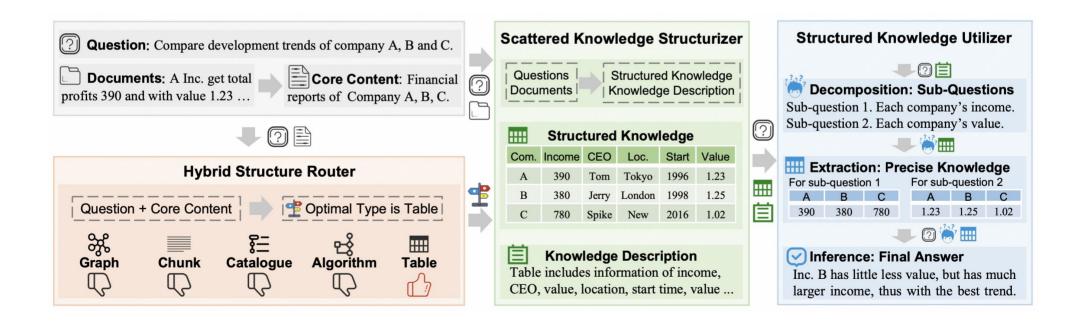
### **Motivation**

> Can LLMs learn from human beings to solve knowledge-intensive reasoning tasks? Yes!

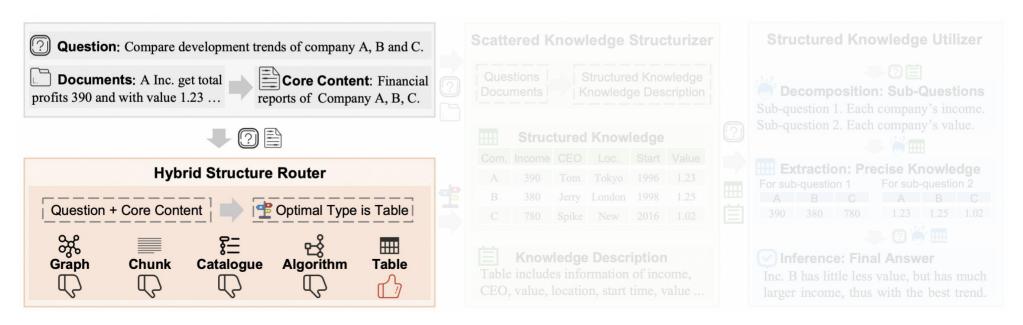
- Cognitive Similarity of LLMs and Human Beings
  - ➤ Chain of thought: *let's think step by step*.
  - ➤ OpenAI o1, DeepSeek R1: let LLMs do deep thinking as human beings.

- ➤ Powerful Structurization Capability of LLMs
  - > Text-to-Table: A New Way of Information Extraction.
  - ➤ UIE (Unified Structure Generation for Universal Information Extraction).

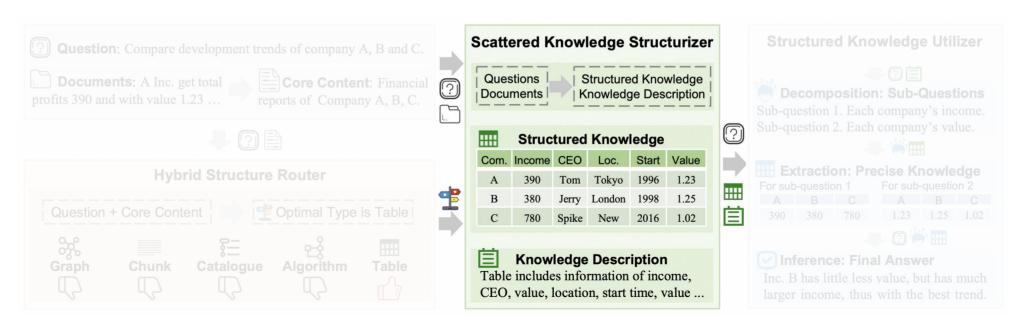
- ➤ Router → Structurizer → Utilizer
  - ➤ Boosting Knowledge Intensive Reasoning of LLMs via Inference-time Hybrid Information Structurization



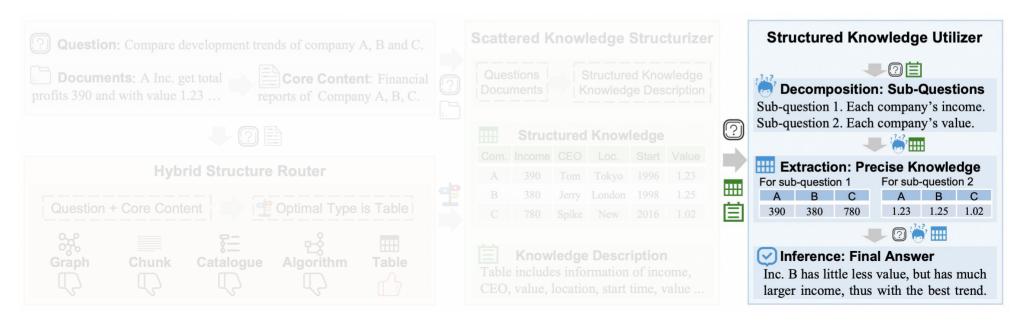
- **Router**: determine the optimal structure type based on the current task scenario.
  - ➤ Using LLM as the foundation, train a Router (which will be discussed in the next section).
  - > Input: the query and the core content description of documents.
  - > Output: the optimal structure types (currently including five possible structure types).



- > Structurizer: transform scattered information into structured knowledge.
  - > Leverage the capabilities of LLMs to achieve structured process based on manually designed prompts.
  - > **Input**: the query and raw documents.
  - > Output: selected type of structured knowledge and a brief description of the structured knowledge.



- ➤ **Utilizer**: use structured knowledge to reason out the answer.
  - **Decomposition**: decompose complex problem into subproblems based on structured knowledge descriptions.
  - **Extraction**: extract precise structured knowledge based on each subproblem.
  - ➤ **Inference**: Integrate all subproblems and precise knowledge to generate the final answer.



# **Router Training**

➤ The router accuracy is the core factor of StructRAG performance.

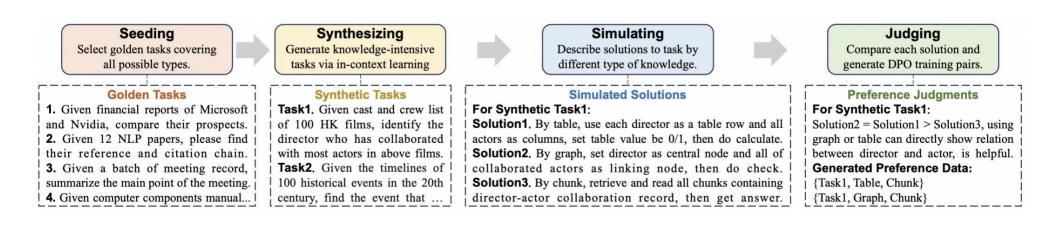
- ➤ Use DPO algorithm to train a high-performance router.
  - > Set the question and core content of documents as input, the name of structure as output.

$$\mathcal{L}_{\text{DPO}}(\pi_{\theta}; \pi_{\text{ref}}) = -\mathbb{E}_{(q, C, t_w, t_l) \sim D_{\text{synthetic}}} \left[ \log \sigma \left( \beta \log \frac{\pi_{\theta}(t_w \mid q, C)}{\pi_{\text{ref}}(t_w \mid q, C)} - \beta \log \frac{\pi_{\theta}(t_l \mid q, C)}{\pi_{\text{ref}}(t_l \mid q, C)} \right) \right]$$

➤ However, how to construct the training dataset ?

## **Router Training**

- ➤ LLM-based Training Data Construction
  - > Task Synthesis: Generate more knowledge-intensive reasoning tasks based on the given seed tasks.
  - > Solution Simulation: Simulate the process of solving problems by different types of structured knowledge.
  - > Preference Judgment: Determine the superiority between different solutions and obtain training data for DPO.



- ➤ Base Model
  - > Qwen2-7B-Instruct (for Router), Qwen2-72B-Instruct (for Structurizer and Utilizer)
- > Dataset
  - ➤ Loong (Leave No Document Behind: Benchmarking Long-Context LLMs with Extended Multi-Doc QA)
- **>** Baselines
  - **Long-context**: Directly input the entire document as external knowledge to the LLM.
  - > RAG: Split document into multiple chunks, retrieve a small number of relevant chunks as external knowledge.
  - > RQ-RAG: Based on RAG, iteratively perform query refinement based on the retrieval results.
  - ➤ **GraphRAG**: Construct the original document into a multi-level graph based on information extraction triples, and retrieve sub-graphs as external knowledge.

> StructRAG achieves the state-of-the-art performance overall.

| Method                            | Spot.     |      | Comp.        |        | Clus.     |      | Chain.    |      | Overall   |      |
|-----------------------------------|-----------|------|--------------|--------|-----------|------|-----------|------|-----------|------|
| Method                            | LLM Score | EM   | LLM Score    | EM     | LLM Score | EM   | LLM Score | EM   | LLM Score | EM   |
| Set 1 (10K-50K Tokens)            |           |      |              |        |           |      |           |      |           |      |
| Long-context (Yang et al., 2024a) | 68.49     | 0.55 | 60.60        | 0.37   | 47.08     | 0.08 | 70.39     | 0.36 | 60.11     | 0.29 |
| RAG (Lewis et al., 2020)          | 51.08     | 0.35 | 44.53        | 0.27   | 37.96     | 0.05 | 53.95     | 0.35 | 46.11     | 0.23 |
| RQ-RAG (Chan et al., 2024)        | 72.31     | 0.54 | 48.16        | 0.05   | 47.44     | 0.07 | 58.96     | 0.25 | 53.51     | 0.17 |
| GraphRAG (Edge et al., 2024)      | 31.67     | 0.00 | 27.60        | 0.00   | 40.71     | 0.14 | 54.29     | 0.43 | 40.82     | 0.18 |
| StructRAG (Ours)                  | 74.53     | 0.47 | <b>75.58</b> | 0.47   | 65.13     | 0.23 | 67.84     | 0.34 | 69.43     | 0.35 |
|                                   |           |      | Set 2 (50K-  | 100K T | okens)    |      |           |      |           |      |
| Long-context (Yang et al., 2024a) | 64.53     | 0.43 | 42.60        | 0.21   | 38.52     | 0.05 | 51.18     | 0.20 | 45.71     | 0.17 |
| RAG (Lewis et al., 2020)          | 66.27     | 0.46 | 46.28        | 0.31   | 38.95     | 0.05 | 46.15     | 0.22 | 45.42     | 0.19 |
| RQ-RAG (Chan et al., 2024)        | 57.35     | 0.35 | 50.83        | 0.16   | 42.85     | 0.03 | 47.60     | 0.10 | 47.09     | 0.10 |
| GraphRAG (Edge et al., 2024)      | 24.80     | 0.00 | 14.29        | 0.00   | 37.86     | 0.00 | 46.25     | 0.12 | 33.06     | 0.03 |
| StructRAG (Ours)                  | 68.00     | 0.41 | 63.71        | 0.36   | 61.40     | 0.17 | 54.70     | 0.19 | 60.95     | 0.24 |
|                                   |           |      | Set 3 (100K- | 200K T | Tokens)   |      |           |      |           |      |
| Long-context (Yang et al., 2024a) | 46.99     | 0.27 | 37.06        | 0.13   | 31.50     | 0.02 | 35.01     | 0.07 | 35.94     | 0.09 |
| RAG (Lewis et al., 2020)          | 73.69     | 0.55 | 42.20        | 0.27   | 32.78     | 0.02 | 37.65     | 0.13 | 42.60     | 0.18 |
| RQ-RAG (Chan et al., 2024)        | 50.50     | 0.13 | 44.62        | 0.00   | 36.98     | 0.00 | 36.79     | 0.07 | 40.93     | 0.05 |
| GraphRAG (Edge et al., 2024)      | 15.83     | 0.00 | 27.40        | 0.00   | 42.50     | 0.00 | 43.33     | 0.17 | 33.28     | 0.04 |
| StructRAG (Ours)                  | 68.62     | 0.44 | 57.74        | 0.35   | 58.27     | 0.10 | 49.73     | 0.13 | 57.92     | 0.21 |
|                                   |           |      | Set 4 (200K- | 250K T | Tokens)   |      |           |      |           |      |
| Long-context (Yang et al., 2024a) | 33.18     | 0.16 | 26.59        | 0.08   | 29.84     | 0.01 | 25.81     | 0.04 | 28.92     | 0.06 |
| RAG (Lewis et al., 2020)          | 52.17     | 0.24 | 24.60        | 0.10   | 26.78     | 0.00 | 17.79     | 0.00 | 29.29     | 0.07 |
| RQ-RAG (Chan et al., 2024)        | 29.17     | 0.08 | 40.36        | 0.00   | 26.92     | 0.00 | 34.69     | 0.00 | 31.91     | 0.01 |
| GraphRAG (Edge et al., 2024)      | 17.50     | 0.00 | 26.67        | 0.00   | 20.91     | 0.00 | 33.67     | 0.33 | 23.47     | 0.05 |
| StructRAG (Ours)                  | 56.87     | 0.19 | 55.62        | 0.25   | 56.59     | 0.00 | 35.71     | 0.05 | 51.42     | 0.10 |

> The advancements of StructRAG are even more apparent in more complex scenarios.

| Method                            | Spot.     |      | Comp.        |        | Clus.     |      | Chain.    |      | Overall        |      |  |
|-----------------------------------|-----------|------|--------------|--------|-----------|------|-----------|------|----------------|------|--|
| Wethou                            | LLM Score | EM   | LLM Score    | EM     | LLM Score | EM   | LLM Score | EM   | LLM Score      | EM   |  |
| Set 1 (10K-50K Tokens)            |           |      |              |        |           |      |           |      |                |      |  |
| Long-context (Yang et al., 2024a) | 68.49     | 0.55 | 60.60        | 0.37   | 47.08     | 0.08 | 70.39     | 0.36 | 60.11          | 0.29 |  |
| RAG (Lewis et al., 2020)          | 51.08     | 0.35 | 44.53        | 0.27   | 37.96     | 0.05 | 53.95     | 0.35 | 46.11          | 0.23 |  |
| RQ-RAG (Chan et al., 2024)        | 72.31     | 0.54 | 48.16        | 0.05   | 47.44     | 0.07 | 58.96     | 0.25 | 53.51          | 0.17 |  |
| GraphRAG (Edge et al., 2024)      | 31.67     | 0.00 | 27.60        | 0.00   | 40.71     | 0.14 | 54.29     | 0.43 | 40.82          | 0.18 |  |
| StructRAG (Ours)                  | 74.53     | 0.47 | 75.58        | 0.47   | 65.13     | 0.23 | 67.84     | 0.34 | 69.43          | 0.35 |  |
|                                   |           |      | Set 2 (50K-  | 100K T | okens)    |      |           |      |                |      |  |
| Long-context (Yang et al., 2024a) | 64.53     | 0.43 | 42.60        | 0.21   | 38.52     | 0.05 | 51.18     | 0.20 | 45.71          | 0.17 |  |
| RAG (Lewis et al., 2020)          | 66.27     | 0.46 | 46.28        | 0.31   | 38.95     | 0.05 | 46.15     | 0.22 | 45.42          | 0.19 |  |
| RQ-RAG (Chan et al., 2024)        | 57.35     | 0.35 | 50.83        | 0.16   | 42.85     | 0.03 | 47.60     | 0.10 | 47.09          | 0.10 |  |
| GraphRAG (Edge et al., 2024)      | 24.80     | 0.00 | 14.29        | 0.00   | 37.86     | 0.00 | 46.25     | 0.12 | 33.06          | 0.03 |  |
| StructRAG (Ours)                  | 68.00     | 0.41 | 63.71        | 0.36   | 61.40     | 0.17 | 54.70     | 0.19 | 60.95          | 0.24 |  |
|                                   |           |      | Set 3 (100K- | 200K T | okens)    |      |           |      |                |      |  |
| Long-context (Yang et al., 2024a) | 46.99     | 0.27 | 37.06        | 0.13   | 31.50     | 0.02 | 35.01     | 0.07 | 35.94          | 0.09 |  |
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| RQ-RAG (Chan et al., 2024)        | 50.50     | 0.13 | 44.62        | 0.00   | 36.98     | 0.00 | 36.79     | 0.07 | 40.93          | 0.05 |  |
| GraphRAG (Edge et al., 2024)      | 15.83     | 0.00 | 27.40        | 0.00   | 42.50     | 0.00 | 43.33     | 0.17 | 33.28          | 0.04 |  |
| StructRAG (Ours)                  | 68.62     | 0.44 | 57.74        | 0.35   | 58.27     | 0.10 | 49.73     | 0.13 | 57.92 <b>4</b> | 0.21 |  |
|                                   |           |      | Set 4 (200K- | 250K T | okens)    |      |           |      |                |      |  |
| Long-context (Yang et al., 2024a) | 33.18     | 0.16 | 26.59        | 0.08   | 29.84     | 0.01 | 25.81     | 0.04 | 28.92          | 0.06 |  |
| RAG (Lewis et al., 2020)          | 52.17     | 0.24 | 24.60        | 0.10   | 26.78     | 0.00 | 17.79     | 0.00 | 29.29          | 0.07 |  |
| RQ-RAG (Chan et al., 2024)        | 29.17     | 0.08 | 40.36        | 0.00   | 26.92     | 0.00 | 34.69     | 0.00 | 31.91          | 0.01 |  |
| GraphRAG (Edge et al., 2024)      | 17.50     | 0.00 | 26.67        | 0.00   | 20.91     | 0.00 | 33.67     | 0.33 | 23.47          | 0.05 |  |
| StructRAG (Ours)                  | 56.87     | 0.19 | 55.62        | 0.25   | 56.59     | 0.00 | 35.71     | 0.05 | 51.42          | 0.10 |  |

➤ All three modules contribute positively to the overall framework.

| Method           | Set 1     |      | Set 2     |      | Set 3     |      | Set 4     |      | Overall   |      |
|------------------|-----------|------|-----------|------|-----------|------|-----------|------|-----------|------|
| Withou           | LLM Score | EM   |
| StructRAG        | 69.43     | 0.35 | 60.95     | 0.24 | 57.92     | 0.21 | 51.42     | 0.10 | 60.38     | 0.23 |
| w/o router       | 51.09     | 0.28 | 48.28     | 0.17 | 39.52     | 0.13 | 41.83     | 0.10 | 45.33     | 0.17 |
| w/o structurizer | 64.97     | 0.29 | 52.17     | 0.17 | 53.18     | 0.19 | 44.24     | 0.10 | 53.92     | 0.19 |
| w/o utilizer     | 68.23     | 0.29 | 59.73     | 0.24 | 53.29     | 0.19 | 35.77     | 0.10 | 55.94     | 0.22 |

> Using any single fixed type of knowledge cannot achieve good performance on diverse tasks.

| Method            | Set 1     |      | Set 2     |      | Set 3     |      | Set 4     |      | Overall   |      |
|-------------------|-----------|------|-----------|------|-----------|------|-----------|------|-----------|------|
| Wiemou            | LLM Score | EM   |
| StructRAG         | 69.43     | 0.35 | 60.95     | 0.24 | 57.92     | 0.21 | 51.42     | 0.10 | 60.38     | 0.23 |
| w/ only table     | 48.00     | 0.23 | 55.19     | 0.24 | 50.35     | 0.19 | 38.44     | 0.12 | 49.66     | 0.21 |
| w/ only graph     | 30.59     | 0.09 | 24.05     | 0.05 | 17.46     | 0.03 | 20.96     | 0.04 | 22.71     | 0.05 |
| w/ only chunk     | 64.97     | 0.29 | 52.17     | 0.17 | 53.18     | 0.19 | 44.24     | 0.10 | 53.92     | 0.19 |
| w/ only catalogue | 30.49     | 0.10 | 36.36     | 0.13 | 36.77     | 0.12 | 23.75     | 0.03 | 33.26     | 0.10 |
| w/ only algorithm | 43.53     | 0.24 | 32.86     | 0.08 | 31.59     | 0.13 | 16.67     | 0.04 | 32.32     | 0.12 |

### Limitations

- > Structure Setting
  - > Design a set of structural types to cover a wider range of tasks.

- > Structurization Process
  - ➤ Reduce the time consumed in constructing structured knowledge.
  - > Improve the LLM's ability to do structurization by post-training, such as reinforcement learning.

- ➤ Structured Knowledge Utilization
  - ➤ Simultaneously use multiple types of structured knowledge in one knowledge-intensive reasoning task.

#### **End**

#### Thanks for your listening!

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https://github.com/Li-Z-Q/StructRAG

https://iclr.cc/virtual/2025/poster/30265

https://huggingface.co/papers/2410.08815