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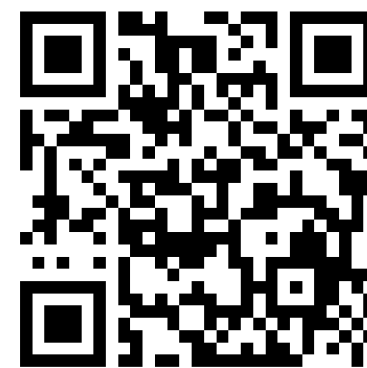
Graph Assisted Offline-Online Deep Reinforcement Learning (**GOODRL**) for Dynamic Workflow Scheduling

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Paper

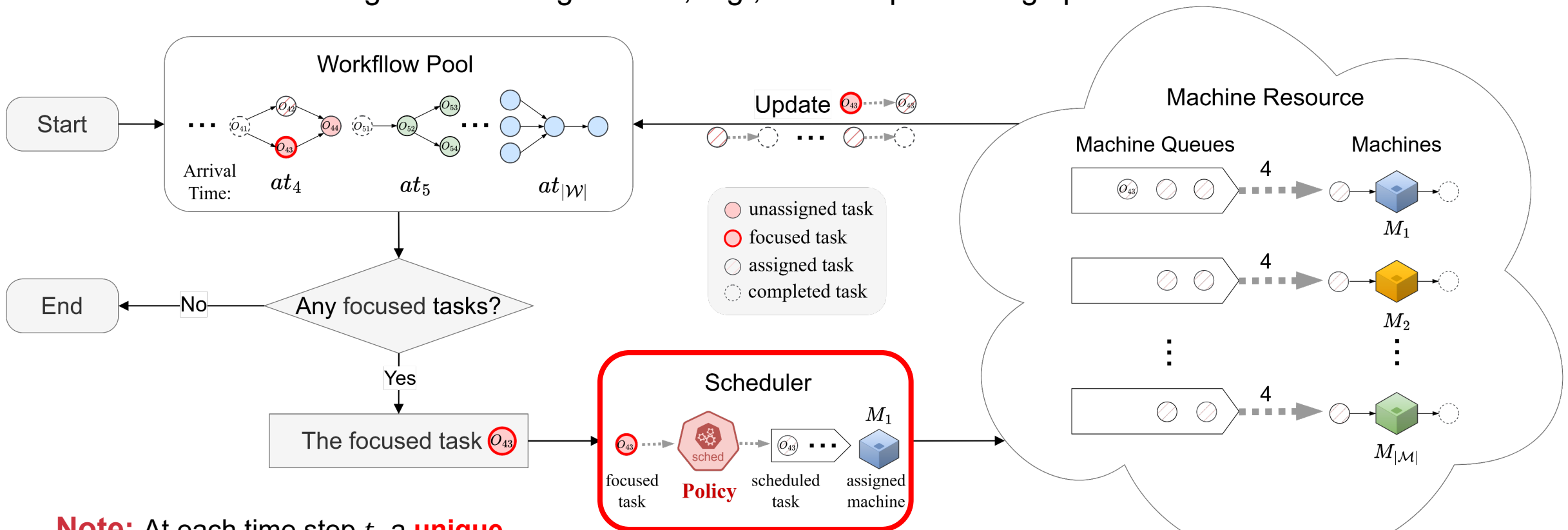
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Code

What is Dynamic Workflow Scheduling (DWS)?

- **Goals:** Assign dynamically arriving **workflow tasks** to **machines** to minimize **mean flowtime**
- **Workflows** are **DAGs**: Nodes = **Tasks**, Edges = Dependencies
- **Machines:** Heterogeneous configurations, e.g., different processing speeds



Note: At each time step t , a **unique focused task** is automatically given by the system

Why is DWS Challenging?

1. Flexible Task Assignment Across Heterogeneous Machines

- Real-world cloud environments are **heterogeneous** with machines of varying configurations
- It is crucial to **intelligently** allocate tasks to the **most suitable** machines
- Ignoring heterogeneity leads to inefficient resource use and longer workflow flowtimes

2. Unpredictable Workflow Arrivals and Patterns

- Workflows arrive in real time and constantly change in amount and patterns
- Need to consider the **complex relationship** between newly arrived, ongoing, and completed workflows

3. Rapidly changing environments

- System workload and resource status are constantly changing
- Necessitates **real-time** decisions-making
- Necessitates **adaptive** scheduling strategies to cope with environmental changes

Limitations of Existing Approaches

Priority Dispatching Rules (PDRs)

- Hand-craft heuristic
- Fast, intuitive, and easy to implement
- Require **extensive expertise** and **time-consuming** tuning
- **Unable** for online adaption to newly collected data

Genetic Programming-based Hyper-Heuristic (GPHH)

- Automatically evolves **tree-based PDRs** through iterative evaluation-and-evolution
- State-of-the-art for DWS
- **Unsuitable** for online adaption to newly collected data

Deep Reinforcement Learning (DRL)

- Successfully learns **neural network-based PDRs** via RL
- Suitable for online adaption through fine-tuning
- Existing vector/matrix-based state representations **fail to capture** complex task—machine interactions in DWS

Related Work in Learning-to-Optimize (L2O)

- Unable to capture complex and dynamic relationships between workflows and machines.
- Neglecting the critic's role in Actor-Critic-based RL stability for large-scale problems
- Unable to continuously learn in the face of future environmental changes

| | Graph Representations | Neural Network Architectures | Training Methods | Problem Scales |
|------|-----------------------------|-----------------------------------|---|----------------------|
| [1] | Static disjunctive graphs | Shared feature extractor | Unmodified Proximal Policy Optimization (PPO) | $\leq 2,000$ tasks |
| [2] | Static disjunctive graphs | Only one feature extractor | Unmodified REINFORCE | $\leq 2,000$ tasks |
| [3] | Static disjunctive graphs | Only one feature extractor | Self-supervised learning | $\leq 2,000$ tasks |
| Ours | Novel dynamic graphs | Separate feature extractor | Novel offline-online PPO | $\leq 600,000$ tasks |

- [1] Zhang, C., Song, W., Cao, Z., Zhang, J., Tan, P. S., & Chi, X. (2020). [Learning to dispatch for job shop scheduling via deep reinforcement learning](#). In *NeurIPS*.
- [2] Zhang, C., Cao, Z., Song, W., Wu, Y., & Zhang, J. (2024). [Deep reinforcement learning guided improvement heuristic for job shop scheduling](#). In *ICLR*.
- [3] Corsini, A., Porrello, A., Calderara, S., & Dell'Amico, M. (2024). [Self-labeling the job shop scheduling problem](#). In *NeurIPS*.

Our Approach – GOODRL

Overall Goal: Introduce **Graph Assisted Offline-Online Deep Reinforcement Learning (GOODRL)** to learn an adaptive and intelligent **scheduling agent** for DWS.

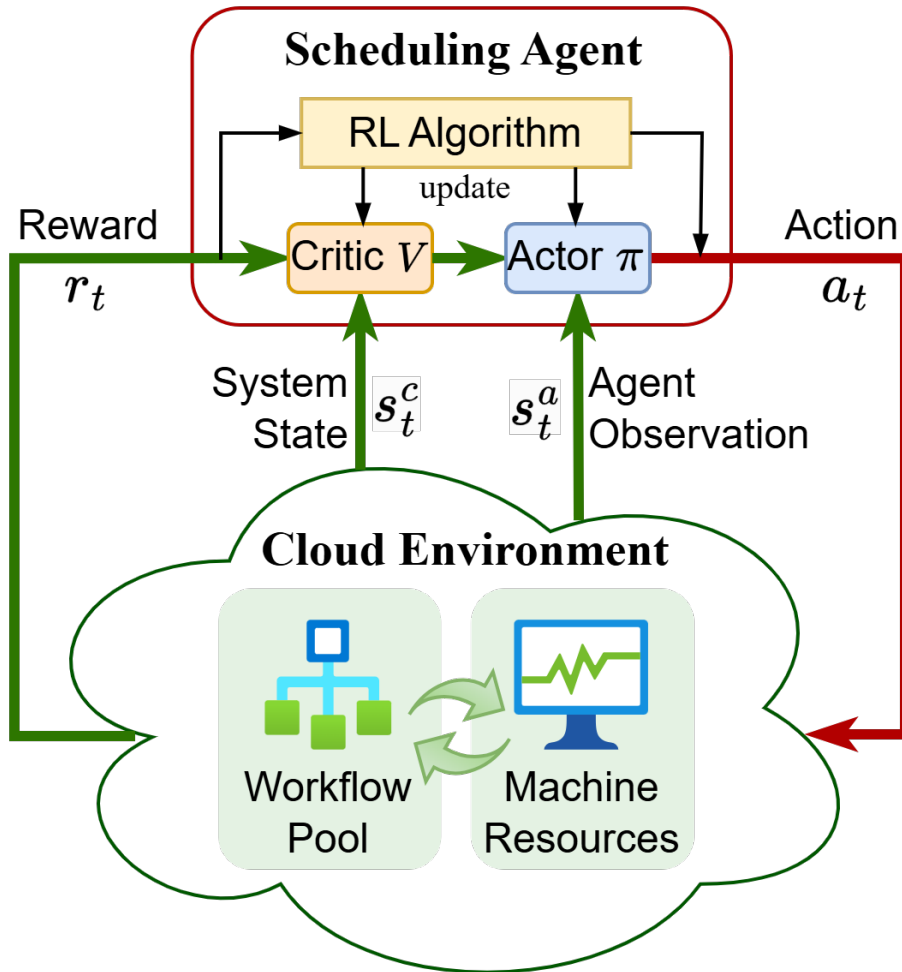
Challenges

1. Flexible Task Assignment Across Heterogeneous Machines
2. Unpredictable Workflow Arrivals and Patterns
3. Rapidly changing environments

Key Innovations

- **Task-Specific Graph & Graph Attention Actor Network**
 - Precisely differentiate all eligible machines.
 - Explicitly captures the future impact of each machine on the current task at both topological and feature levels.
- **System-Oriented Graph & Graph Attention Critic Network**
 - Accurately capture real-time changes in the system state.
 - Seamlessly integrate newly arriving workflows with existing ones.
- **Offline-Online Training Method**
 - Offline imitation learning followed by standard PPO.
 - Online PPO with gradient control and decoupled high-frequency critic techniques.

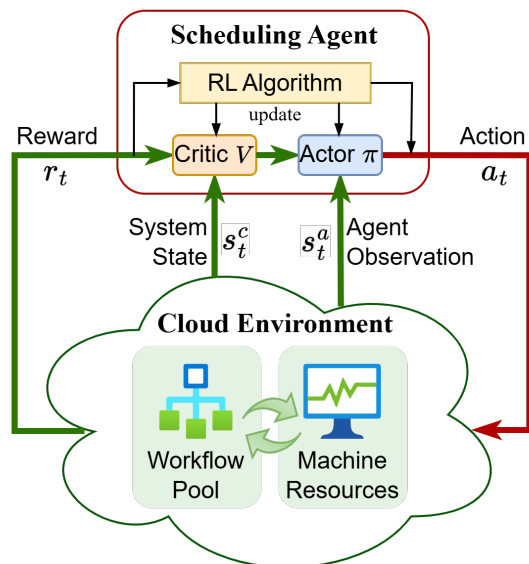
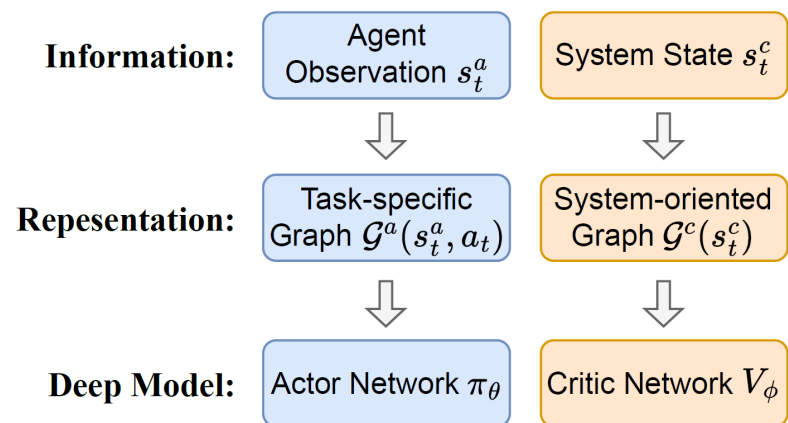
Overview of GOODRL



The goal of GOODRL is to learn an adaptive and intelligent **scheduling agent** for DWS.

- Step1: Formulate DWS as an RL problem
(Innovation of graph representations)
- Step2: Graph Attention Actor & Critic Networks
(Innovation of neural network architectures)
- Step3: Two-stage Offline-Online Learning
(Innovation of training methods)

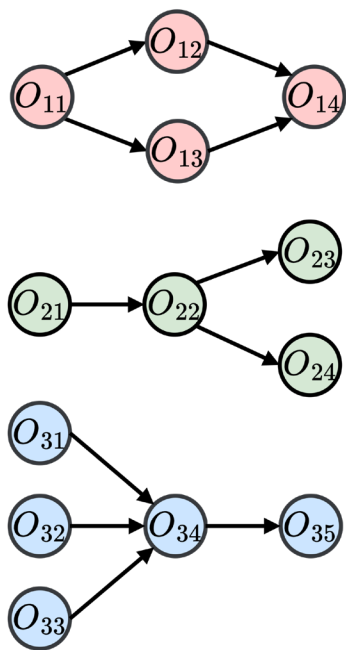
Step1: Formulate DWS as an RL Problem



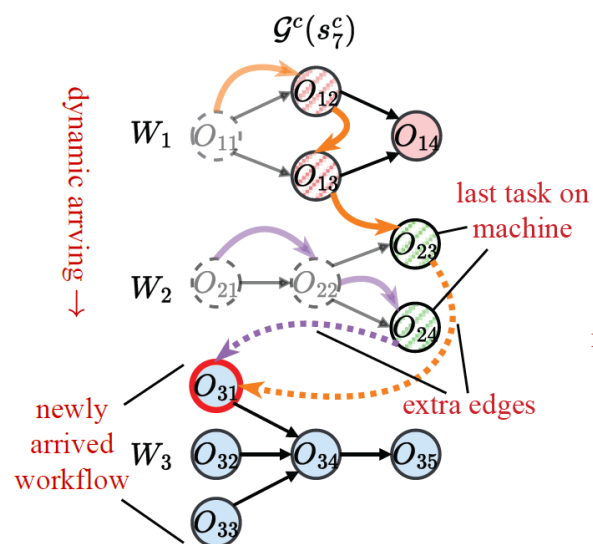
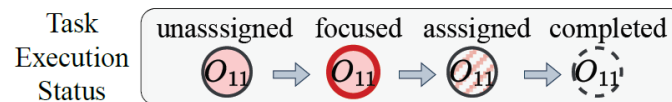
- **System State**: Snapshot of **the entire DWS system** at any time, including all tasks, machines, workflows, and their dependencies.
- **Agent Observation**: **Partial view** of the system from the agent's perspective, tailored for decision-making.
- **Action**: Assign the focused task to an eligible machine's waiting queue.
- **Transition**: Transit from state s_t to state s_{t+1} after an action is executed, updating workflow and machine information.
- **Rewards**: Defined as the negative normalized sum of workflow flowtimes completed between consecutive decision steps. The objective is $\min \frac{1}{|\mathcal{W}|} \sum_{i=1}^{|\mathcal{W}|} F_i$.

Step1: Dynamic Graph Representations

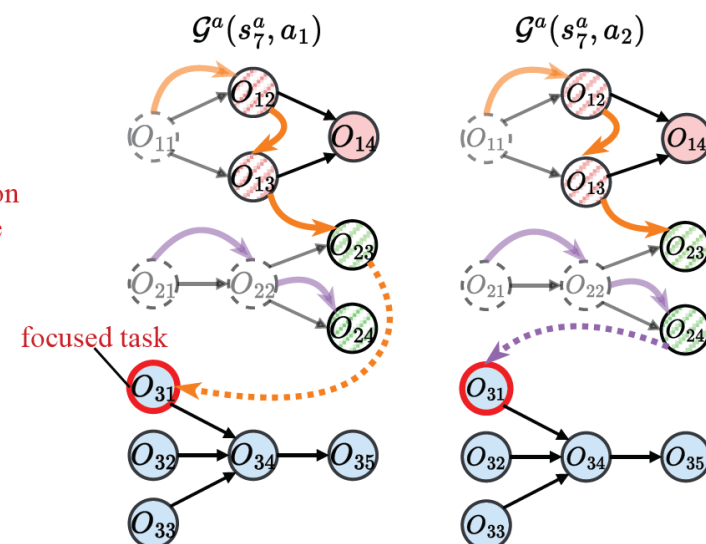
Example: The tasks $\mathcal{O} = \{O_{ij}\}$ of workflows W_1, W_2, W_3 are assigned to machines M_1 and M_2 .



At state s_7 , should the focused task O_{31} to be assigned to machine M_1 or M_2 ?



(a) System-oriented Graph Representation

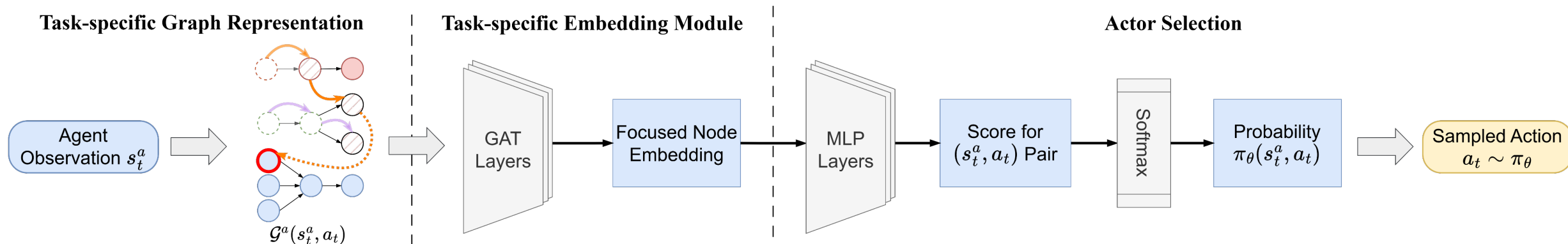


(b) Task-specific Graph Representation

- For critic network V_ϕ , represents the entire system state

- For actor network π_θ , focuses on task-machine interactions

Step2: Actor Network Architecture



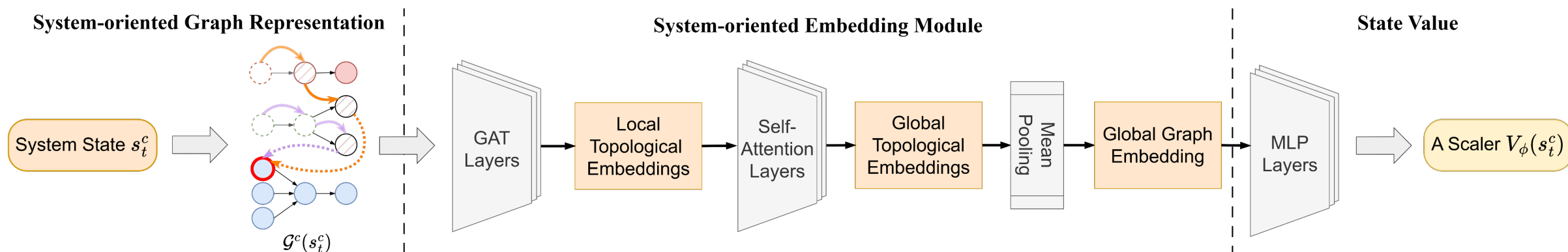
- **Pairwise Processing:** Evaluate each (s, a) pair separately, considering the immediate and future impact of assigning any machine to the focused task.
- **Focused Embedding:** Directly focus on the embedding of the focused task, rather than using mean pooling to combine embeddings of all nodes.

$$\mathcal{L}_{CE} = \frac{1}{|\mathcal{D}|} \sum_{s_t^a, a_t \in \mathcal{D}} \text{CrossEntropy}(\pi_{\theta}(s_t^a, \cdot), a_t)$$

Ablation Study

| Actor Architecture | 100-th | 200-th | 300-th | 400-th | 500-th | 600-th | 700-th | 800-th | 900-th |
|--------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Ours-TSEM | 2.7486 | 2.7106 | 2.6881 | 2.6647 | 2.6498 | 2.6038 | 2.5726 | 2.5297 | 2.5091 |
| TSEM w/o. pair | 3.1707 | 3.1597 | 3.1538 | 3.1468 | 3.1435 | 3.1394 | 3.1365 | 3.1333 | 3.1302 |
| TSEM w. mean | 2.7099 | 2.7209 | 2.7152 | 2.6659 | 2.7109 | 2.6172 | 2.5989 | 2.5334 | 2.5243 |

Step2: Critic Network Architecture



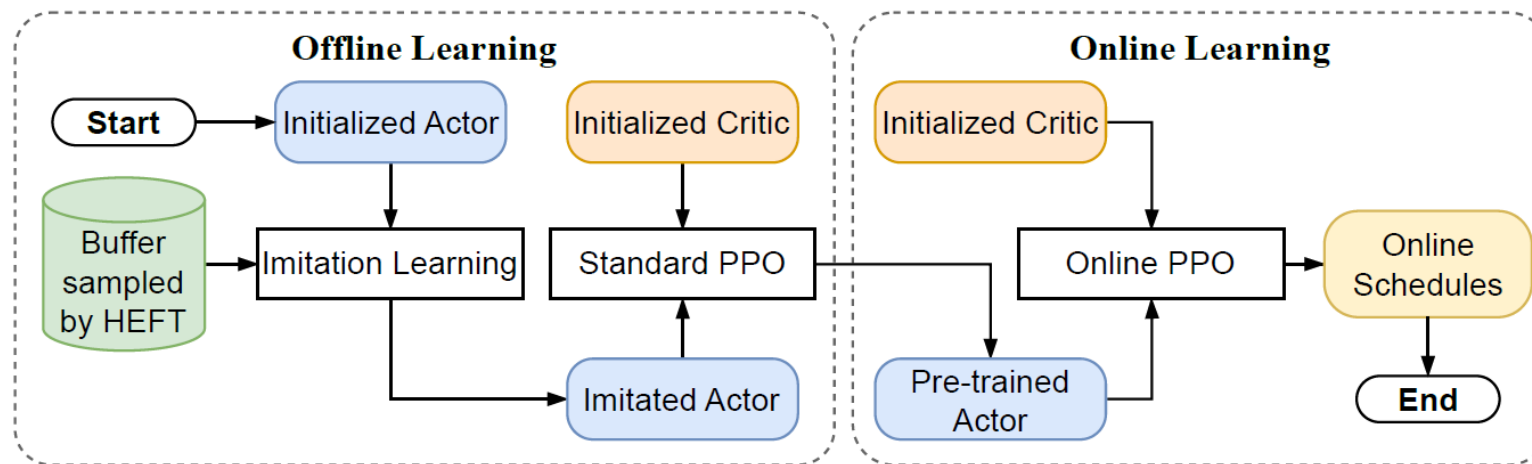
- **Comprehensive Context Awareness:** Process the information of each edge in bi-direction and use additional edges between the focused task and all eligible machines.
- **Long-range Interaction Modeling:** Use a self-attention mechanism to capture long-range dependencies across all task nodes, including those belonging to newly arrived workflows.

$$\mathcal{L}_{MSE} = \frac{1}{|\mathcal{D}|} \sum_{s_t^c \in \mathcal{D}} (V_{\phi}(s_t^c) - R_t)^2,$$

Ablation Study

| Critic Architecture | 100-th | 200-th | 300-th | 400-th | 500-th | 600-th | 700-th | 800-th |
|---------------------|----------------|----------------|----------------|---------------|---------------|---------------|---------------|---------------|
| Ours-SOEM | 16.3971 | 14.0938 | 10.4907 | 9.5811 | 7.8581 | 7.5675 | 7.1238 | 6.0035 |
| SOEM w/o. edge | 17.3012 | 13.4737 | 11.6626 | 9.8066 | 8.8853 | 7.5266 | 7.5607 | 7.593 |
| SOEM w/o. self | 20.6114 | 16.1826 | 14.6813 | 12.6997 | 12.0733 | 10.7019 | 10.1497 | 8.5121 |

Step3: Two-stage Offline-Online Learning



➤ Offline Phase:

- Pre-train actor network via **imitation learning** to mimic the behavior of experts (e.g., HEFT).
- Use **Proximal Policy Optimization (PPO)** algorithm for joint actor-critic training.

➤ Online Phase:

- Enhanced PPO with **gradient control** and **decoupled high-frequency critic updates**.

Ablation Study

| Training Method | 150-th | 175-th | 200-th | 225-th | 250-th |
|-------------------|--------------|--------------|--------------|--------------|--------------|
| Ours-Online | 1.62% | 1.50% | 1.57% | 1.52% | 1.52% |
| Online w/o. grad. | -1.18% | -1.08% | -1.24% | -1.36% | -1.64% |
| Online w/o. freq. | -184.80% | -261.27% | -283.93% | -336.86% | -382.54% |

Experimental Setup

Environment Settings

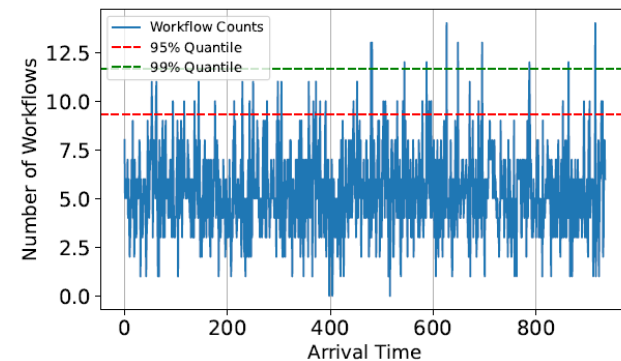
- Workflow patterns: Montage, CyberShake, SIPHT, Inspiral
- Machines: 5 types \times 5 each, 6 types \times 4 each
- Arrival patterns: Poisson, $\lambda = \{5.4, 9\}$ workflows/hour

Baselines

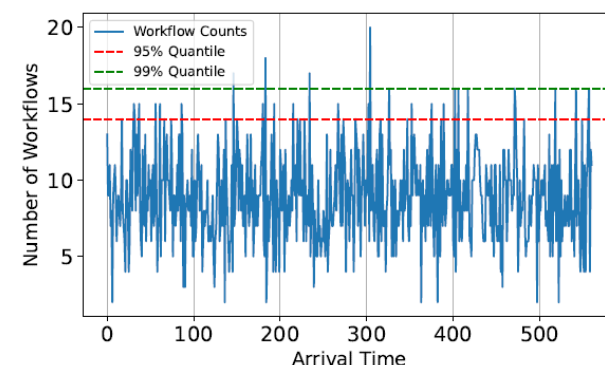
- Traditional heuristics: **EST**, **PEFT**, **HEFT**
- Evolutionary computation approach: **GPHH** (30 independent runs)
- DRL-based approach: **ERL-DWS** (5 independent runs)

Model Configurations

- Actor network: 2 GAT layers and 4 MLP layers, with each of layer has 128 hidden-dimensions
- Critic network: 2 GAT layers, 1 self-attention layers, and 4 MLP layers, with hidden-dimension =128



(a) $\lambda = 5.4$



(b) $\lambda = 9.0$

Offline Scenario Performance

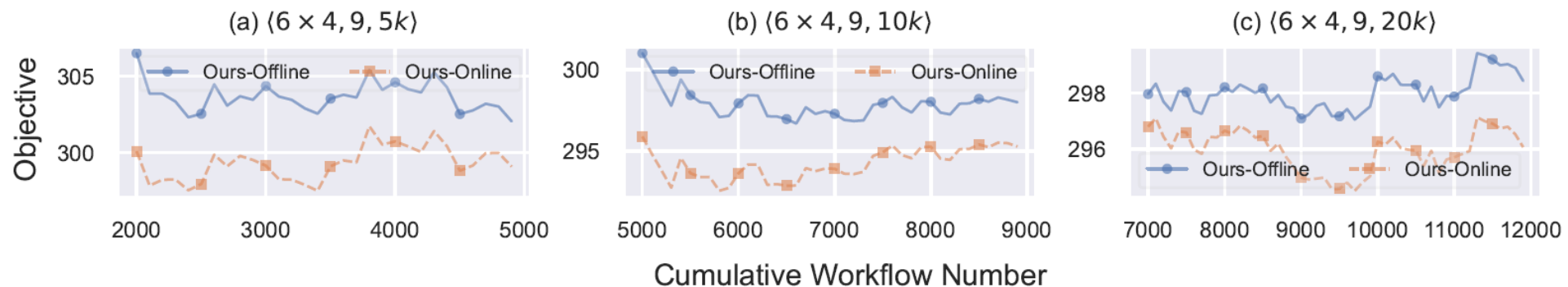
| Scenarios | EST | | PEFT | | HEFT | | GPHH | | ERL-DWS | | Ours-Offline | |
|---------------------------------------|----------------|----------------|--------|--------|--------|--------|---------------|---------------|---------|----------|---------------|--------------|
| | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap |
| $\langle 5 \times 5, 5.4, 1k \rangle$ | 1243.15 | 204.51% | 551.30 | 35.04% | 509.95 | 24.91% | 408.24 | 0.00% | 1889.47 | 362.83% | 413.29 | 1.24% |
| $\langle 5 \times 5, 9, 1k \rangle$ | 1152.40 | 177.94% | 510.55 | 23.14% | 478.44 | 15.39% | 430.28 | 3.78% | 2180.41 | 425.89% | 414.61 | 0.00% |
| $\langle 6 \times 4, 5.4, 1k \rangle$ | 1083.02 | 290.07% | 438.40 | 57.90% | 391.61 | 41.05% | 322.52 | 16.16% | 713.87 | 157.11% | 277.65 | 0.00% |
| $\langle 6 \times 4, 9, 1k \rangle$ | 990.20 | 248.92% | 391.17 | 37.84% | 357.95 | 26.13% | 300.20 | 5.78% | 1523.83 | 436.95% | 283.79 | 0.00% |
| $\langle 5 \times 5, 5.4, 3k \rangle$ | 1235.14 | 202.87% | 551.33 | 35.19% | 508.10 | 24.59% | 407.81 | 0.00% | 2670.81 | 554.91% | 408.41 | 0.15% |
| $\langle 5 \times 5, 9, 3k \rangle$ | 1153.02 | 179.00% | 510.22 | 23.46% | 477.07 | 15.44% | 427.04 | 3.33% | 3582.70 | 766.91% | 413.27 | 0.00% |
| $\langle 6 \times 4, 5.4, 3k \rangle$ | 1081.28 | 289.98% | 438.62 | 58.19% | 390.64 | 40.89% | 386.77 | 39.49% | 1108.95 | 299.96% | 277.27 | 0.00% |
| $\langle 6 \times 4, 9, 3k \rangle$ | 992.46 | 250.72% | 389.94 | 37.80% | 356.08 | 25.83% | 358.40 | 26.65% | 2748.28 | 871.19% | 282.98 | 0.00% |
| $\langle 5 \times 5, 5.4, 5k \rangle$ | 1231.70 | 202.34% | 550.53 | 35.13% | 507.91 | 24.67% | 408.38 | 0.24% | 2944.35 | 622.73% | 407.39 | 0.00% |
| $\langle 5 \times 5, 9, 5k \rangle$ | 1146.62 | 177.17% | 509.61 | 23.19% | 477.12 | 15.33% | 427.88 | 3.43% | 4299.75 | 939.38% | 413.68 | 0.00% |
| $\langle 6 \times 4, 5.4, 5k \rangle$ | 1076.75 | 288.11% | 437.53 | 57.71% | 389.24 | 40.30% | 386.95 | 39.47% | 1281.00 | 361.73% | 277.44 | 0.00% |
| $\langle 6 \times 4, 9, 5k \rangle$ | 992.92 | 250.55% | 388.68 | 37.22% | 356.47 | 25.85% | 297.40 | 5.00% | 3480.87 | 1128.92% | 283.25 | 0.00% |
| | 5.08 | | 4 | | 2.92 | | 1.92 | | 5.92 | | 1.17 | |

Observations

- **GOODRL** achieves the **lowest mean flowtime** in most offline scenarios
- Outperforms heuristics by **up to 290.07%**
- More **robust** performance than GPHH and ERL-DWS

Online Scenario Performance

| Scenarios | EST | | PEFT | | HEFT | | GPHH | | ERL-DWS | | Ours-Offline | | Ours-Online | |
|--|---------|---------|--------|--------|--------|--------|--------|-------|---------|---------|---------------|--------------|---------------|--------------|
| | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap | Obj. | Gap |
| $\langle 6 \times 4, 5.4, 5k \rangle$ | 1076.01 | 277.05% | 439.28 | 53.93% | 391.63 | 37.23% | 303.70 | 6.42% | 1349.12 | 372.74% | 286.43 | 0.37% | 285.38 | 0.00% |
| $\langle 6 \times 4, 5.4, 10k \rangle$ | 1077.09 | 279.13% | 439.64 | 54.75% | 390.26 | 37.37% | 305.31 | 7.47% | 1778.26 | 525.94% | 284.09 | 0.00% | 285.12 | 0.36% |
| $\langle 6 \times 4, 5.4, 20k \rangle$ | 1072.90 | 276.97% | 439.88 | 54.55% | 391.18 | 37.44% | 309.12 | 8.61% | 2257.78 | 693.29% | 286.08 | 0.52% | 284.61 | 0.00% |
| $\langle 6 \times 4, 9, 5k \rangle$ | 994.00 | 233.40% | 387.84 | 30.09% | 355.51 | 19.24% | 303.57 | 1.82% | 1246.91 | 318.24% | 301.00 | 0.96% | 298.14 | 0.00% |
| $\langle 6 \times 4, 9, 10k \rangle$ | 993.97 | 238.09% | 387.64 | 31.85% | 355.21 | 20.82% | 307.27 | 4.52% | 1838.20 | 525.24% | 297.19 | 1.09% | 294.00 | 0.00% |
| $\langle 6 \times 4, 9, 20k \rangle$ | 997.53 | 231.28% | 388.79 | 29.12% | 356.39 | 18.36% | 312.56 | 5.08% | 2783.78 | 835.93% | 301.11 | 1.24% | 297.44 | 0.00% |
| | 6 | | 5 | | 4 | | 3 | | 7 | | 1.83 | | 1.17 | |



Observations

- GOODRL-Online **further improves** scheduling performance upon GOODRL-Offline
- Demonstrates effective online adaptation even in **large-scale scenarios** (e.g., 20k workflows)

Scalability & Transferability

■ Scalability to significant changes

| Scenarios | Workflow Pattern | Arrival Rate | Machine Number | EST | PEFT | HEFT | GP | ERL-DWS | Ours |
|-----------|------------------|--------------|----------------|---------|---------|--------|---------|----------|---------------|
| 1 | ✓ | – | – | 1954.59 | 961.26 | 881.55 | 962.35 | 14103.84 | 862.59 |
| 2 | ✓ | ✓ | – | 2114.21 | 1005.76 | 904.06 | 832.37 | 6403.65 | 791.86 |
| 3 | – | ✓ | 3 × 15 | 1793.76 | 927.33 | 872.71 | 1015.96 | 3208.32 | 761.24 |
| 4 | – | ✓ | 4 × 10 | 1512.44 | 684.15 | 643.34 | 517.05 | 2696.69 | 509.17 |
| 5 | – | ✓ | 5 × 7 | 1317.28 | 561.51 | 513.70 | 396.07 | 2534.30 | 385.44 |
| 6 | – | ✓ | 6 × 5 | 1190.84 | 450.93 | 404.47 | 286.00 | 2420.63 | 282.07 |

GOODRL can effectively handle **significant changes** in workflow patterns, arrival rates, and machine configurations without retraining

■ Transferability to FJSS

| FJSS Size | MOR | SPT | FIFO | MWKR | DRL-G | DRL-S | Ours |
|-----------|--------|--------|--------|--------|--------|---------------|---------------|
| 10×5 | 116.69 | 129.06 | 119.62 | 115.29 | 111.67 | 105.61 | 112.57 |
| 20×5 | 217.17 | 229.89 | 216.13 | 216.98 | 211.22 | 207.50 | 202.38 |
| 30×10 | 320.18 | 347.40 | 328.50 | 319.89 | 313.04 | 312.20 | 304.63 |
| 40×10 | 425.19 | 443.30 | 427.22 | 425.70 | 416.18 | 415.15 | 395.70 |

GOODRL can also performs **competitively** on **other scheduling problems** such as FJSS [1]

[1] Song, W., Chen, X., Li, Q., & Cao, Z. (2022). Flexible job-shop scheduling via graph neural network and deep reinforcement learning. *IEEE Transactions on Industrial Informatics*.

Extensibility & Inference Time

■ Extensibility to multi-objective problems

| Scenarios | Objectives | Single-Obj. | Multi-Obj. | Diff. |
|---------------------------------------|-----------------|-------------|------------|---------|
| $\langle 5 \times 5, 5.4, 30 \rangle$ | <i>flowtime</i> | 401.77 | 420.29 | +4.61% |
| | <i>cost</i> | 139.82 | 82.28 | -41.15% |
| $\langle 5 \times 5, 5.9, 30 \rangle$ | <i>flowtime</i> | 408.49 | 413.02 | +1.11% |
| | <i>cost</i> | 116.32 | 97.51 | -16.17% |
| $\langle 6 \times 4, 5.4, 30 \rangle$ | <i>flowtime</i> | 277.57 | 286.73 | +3.30% |
| | <i>cost</i> | 192.24 | 143.47 | -25.37% |
| $\langle 6 \times 4, 9, 30 \rangle$ | <i>flowtime</i> | 285.93 | 306.90 | +7.33% |
| | <i>cost</i> | 135.58 | 91.18 | -32.75% |

GOODRL can **support other practical objectives**, such as *cost* and *flowtime*, by modifying the reward function

■ Average inference time to make a decision

| Scenarios | GPHH | ERL-DWS | Ours |
|---------------------------------------|--------|---------|--------|
| $\langle 5 \times 5, 5.4, 1k \rangle$ | 0.7 ms | 2.6 ms | 6.1 ms |
| $\langle 5 \times 5, 9, 1k \rangle$ | 1.0 ms | 2.7 ms | 7.6 ms |
| $\langle 6 \times 4, 5.4, 1k \rangle$ | 0.6 ms | 2.7 ms | 6.0 ms |
| $\langle 6 \times 4, 9, 1k \rangle$ | 0.7 ms | 2.5 ms | 6.8 ms |

GOODRL's inference time is less than the communication latency and data transfer time in cloud, hence **short enough** to meet real-world requirements

Contributions

- **Task-Specific Graph Representation & Graph Attention Actor Network:**
Dynamically evaluate both immediate and future impacts among tasks, workflows, and machines.
- **System-oriented Graph Representation & Graph Attention Critic Network:**
Model complex interactions across multiple workflows and machines for accurate value estimation.
- **Offline Imitation Learning & Enhanced Online PPO:**
Efficient pre-training with imitation learning, followed by robust fine-tuning via gradient control and decoupled high-frequency critic updates.
- **Superior performance** compared to state-of-the-art baselines in minimizing mean flowtime.

Future Work

- Extend to more complex cloud environments (e.g., Unlimited machine configurations)
- Develop multi-objective learning techniques (e.g., Pareto-optimal learning)
- Incorporate constraint handling mechanisms (e.g. Learning an additional constraint control policy)